

# On the non-realizability of braid groups by diffeomorphisms

Nick Salter, University of Chicago  
Joint with Bena Tshishiku  
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## Basic setup

$X$  - topological space.

$\text{Diff}(X)$ : group of  $C^k$  diffeomorphisms of  $X$  (rel. boundary)

( $S \subset X$  subset:  $\text{Diff}(X, S)$  diffeos rel.  $S$ )

$\text{Diff}_0(X, S)$ : diffeos isotopic to id. rel.  $S$

We study  $\text{Mod}(X, S) = \text{Diff}(X, S) / \text{Diff}_0(X, S)$

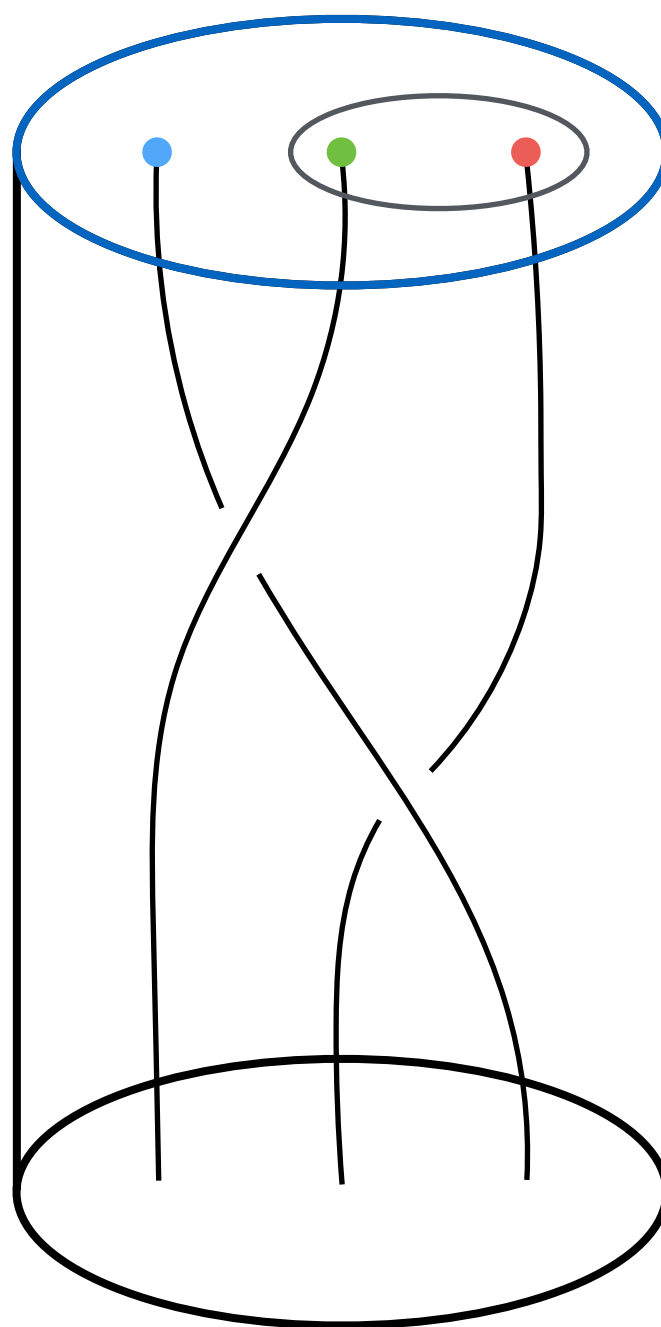
# Key examples

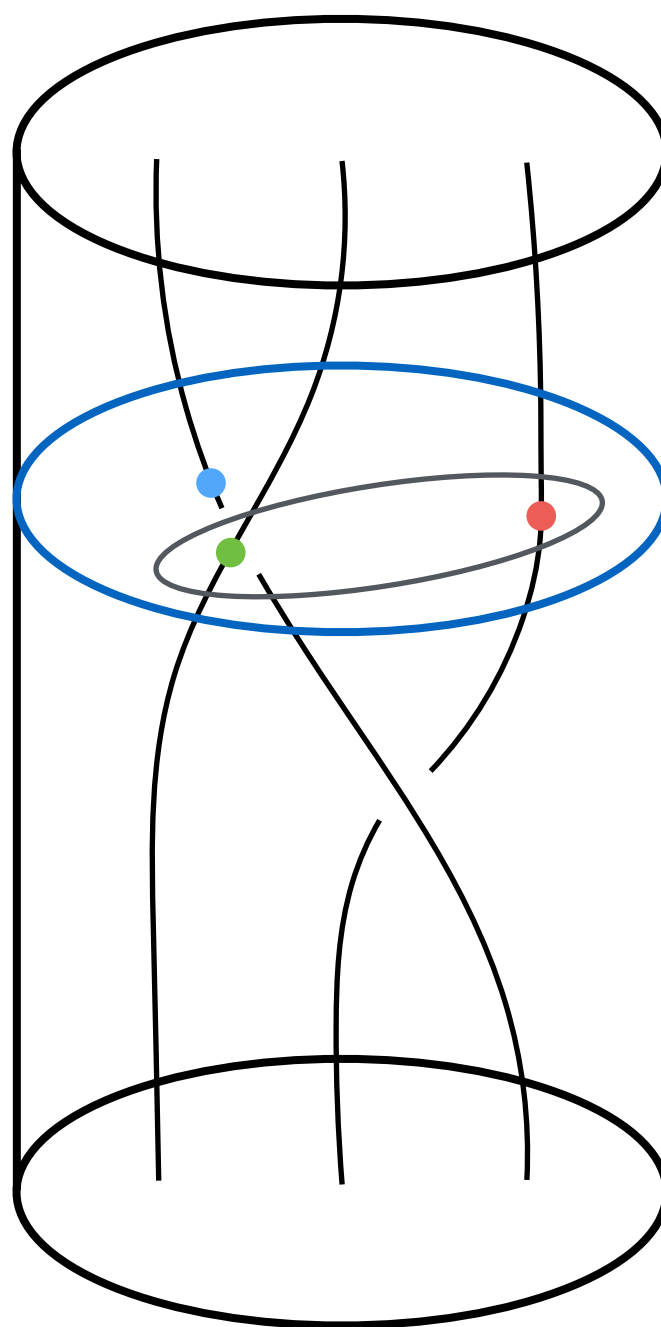
Example:  $X = \Sigma_g$

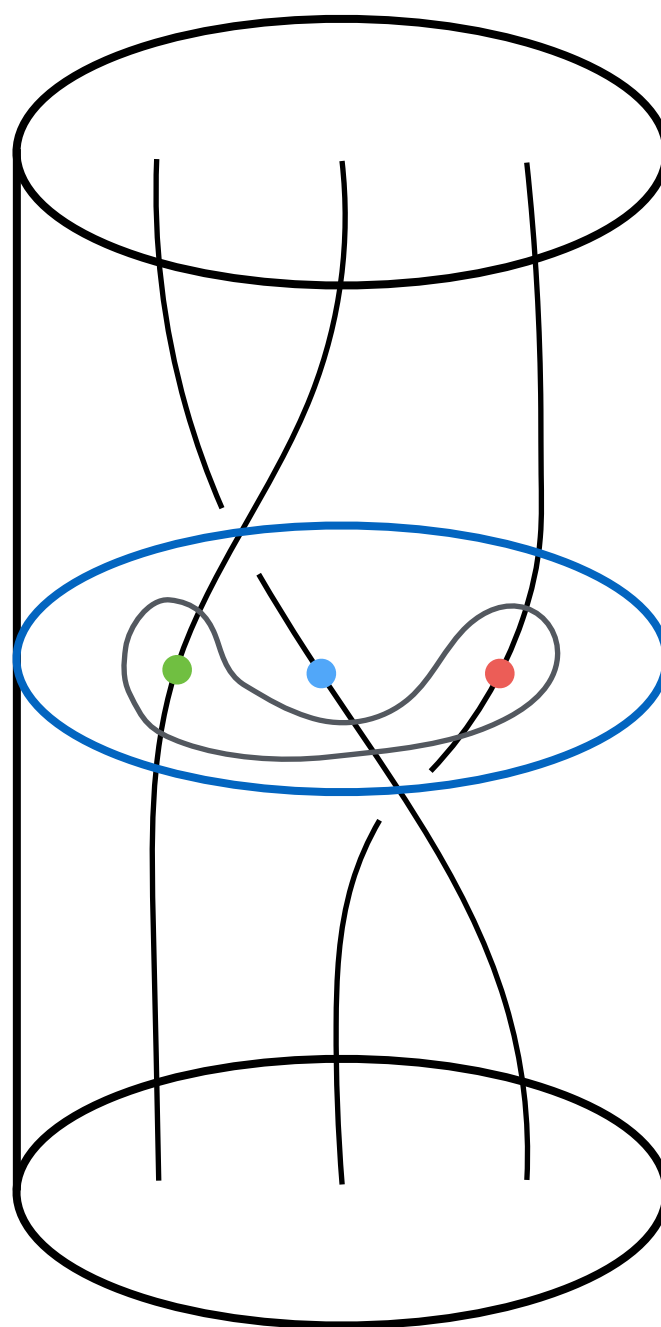
$\text{Mod}(\Sigma_g)$ : mapping class group of surface

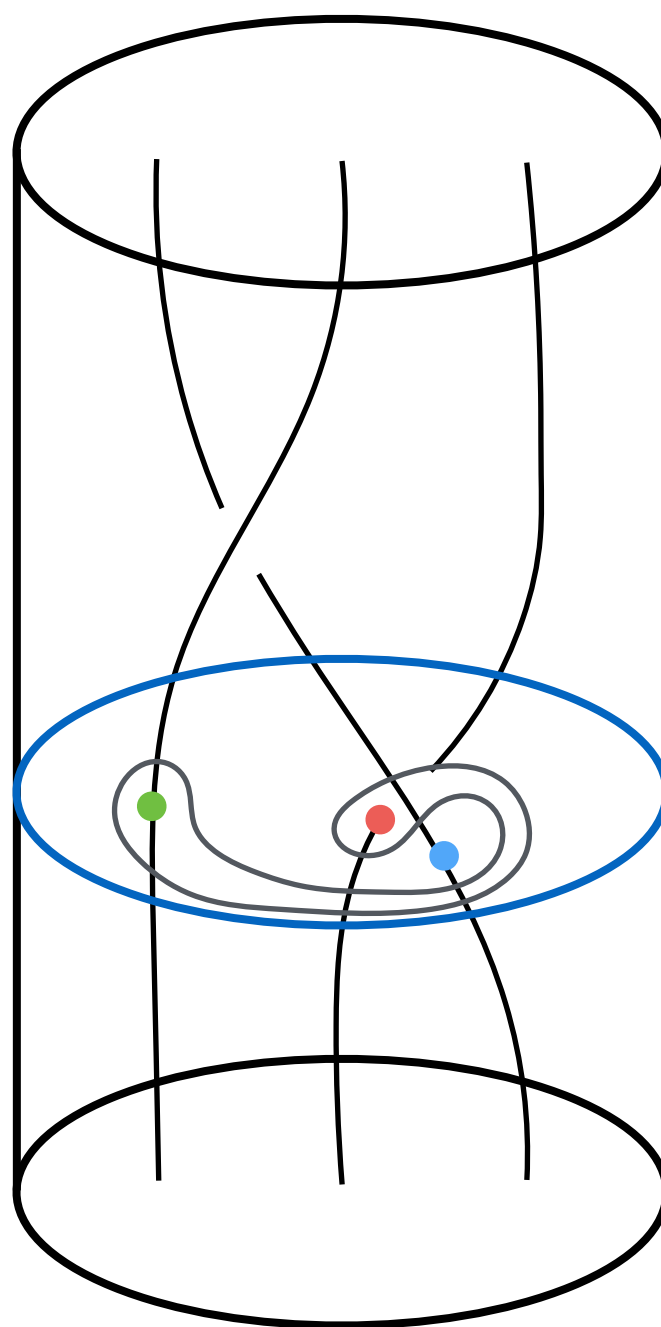
Main concern today:  $X = D^2$ ,  $S = \{n \text{ points}\}$

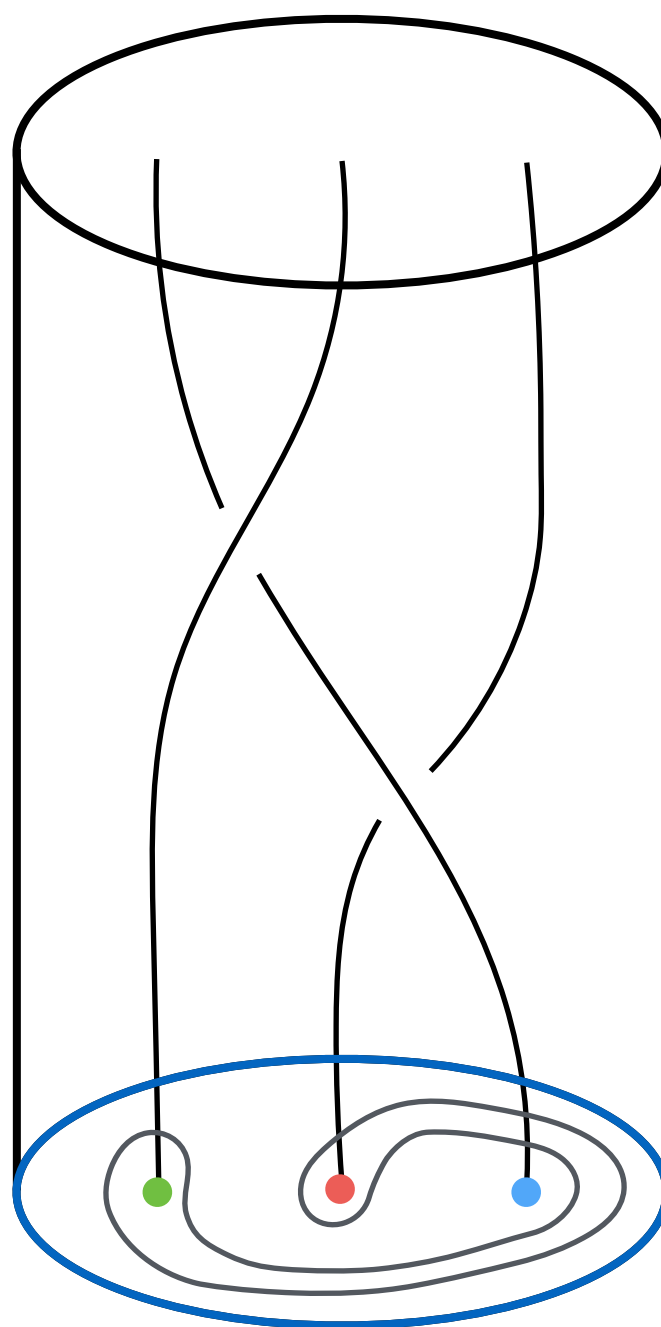
$\text{Mod}(X, S) = B_n$  (braid group on  $n$  strands)













## The basic question

Does the map  $\pi : \text{Diff}(X, S) \rightarrow \text{Mod}(X, S)$   
admit a *section*, i.e. a map

$$\sigma : \text{Mod}(X, S) \rightarrow \text{Diff}(X, S)$$

for which  $\pi \circ \sigma = \text{id}$  ?

We say that  $\text{Mod}(X, S)$  is *(not) realizable by diffeomorphisms* if the answer is yes (resp. no).

# Morita's non-lifting theorem

The case of  $\text{Mod}(\Sigma_g)$  is well-understood.

Theorem (S. Morita, 1987):

*$\text{Mod}(\Sigma_g)$  is not realizable by  $C^2$  diffeomorphisms,  
for all  $g \geq 18$*

## The idea

Use cohomology.

Existence of section implies a diagram

$$H^*(\text{Mod}(\Sigma_g)) \xrightarrow{\pi^*} H^*(\text{Diff}(\Sigma_g)) \xrightarrow{\sigma^*} H^*(\text{Mod}(\Sigma_g))$$

for which  $\sigma^* \circ \pi^* = 1$

In particular,  $\pi^*$  is an injection.

# The contradiction

Morita constructs an element  $e_3 \in H^6(\text{Mod}(\Sigma_g))$

He applies the *Bott vanishing theorem*  
from foliation theory to show that  $\pi^*(e_3) = 0$

## Today's question

Is  $B_n$  realizable by diffeomorphisms?

Following Morita, would like to understand

$$\ker \pi^* : H^*(B_n) \rightarrow H^*(\text{Diff}(D^2, S))$$

Problem!

Theorem (Nariman, 2015):

$\pi^* : H^*(B_n) \rightarrow H^*(\text{Diff}(D^2, S))$  is an injection.

## Alternative approaches

Many proofs of Morita's theorem by now.

Bestvina-Church-Souto:  
Milnor-Wood inequality.

Franks-Handel:  
Use dynamics to produce fixed points.  
Use these fixed points to construct  
homomorphisms that can't exist.

## Main Theorem (I)

This last approach inspires our method.

Theorem (S., Tshishiku, 2015):

*For  $n \geq 5$  the braid group is not realizable by diffeomorphisms. More generally, the “surface braid group”  $B_n(\Sigma_g^b)$  is not realizable by diffeomorphisms for  $n \geq 5$  if  $b \geq 1$ , and for  $n \geq 6$  otherwise.*

## Main Theorem (II)

By exploiting the hyperelliptic mapping class group, we obtain a new and quite simple proof of Morita's theorem in the best possible range:

Theorem (S., Tshishiku, 2015):

*For all  $g \geq 2$ , the mapping class group  $\text{Mod}(\Sigma_g)$  is not realizable by  $C^1$  diffeomorphisms.*



## Outline of proof (I)

Diffeos realizing elements of  $B_n$  must fix points in  $S$ .

Exploit this to manufacture homomorphisms  $f : B_n \rightarrow A$  with  $A$  abelian.

Use dynamics/geometry to show these are highly non-degenerate.

Exhibit subgroups  $G$  of  $B$  with  $H^1(G, \mathbb{Z}) = 0$

## Steps 2 and 3: constructing homomorphisms

Derivative map:  $D_x : B_n \rightarrow GL_2^+(\mathbb{R})$

Analyze centralizers: image must be abelian.

If trivial, use *Thurston stability*:

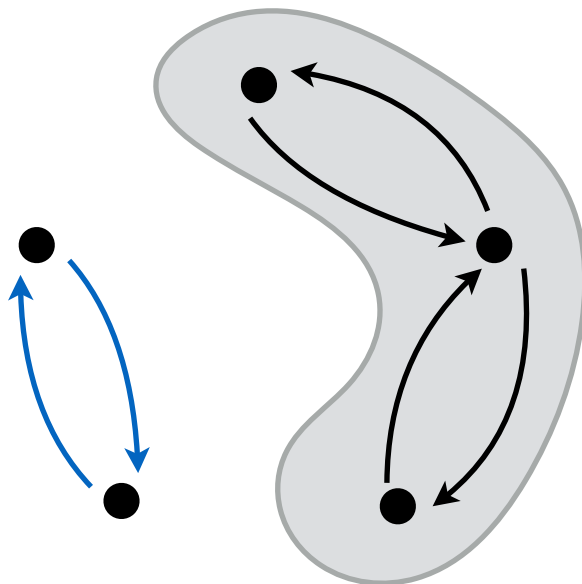
Theorem (Thurston, 1974):

*Let  $G$  be f.g. acting via  $C^1$  diffeomorphisms on  $\mathbb{R}^n$  with a global fixed point  $x$ . If  $D_x : G \rightarrow GL_n(\mathbb{R})$  is trivial, there is a map  $f : G \rightarrow \mathbb{R}$  with nontrivial image.*

## Step 4: “bad” braid subgroups

Fact: For  $n \geq 5$ , the commutator subgroup  $[B_n, B_n]$  is finitely generated,  
and  $H^1([B_n, B_n], \mathbb{Z}) = 1$

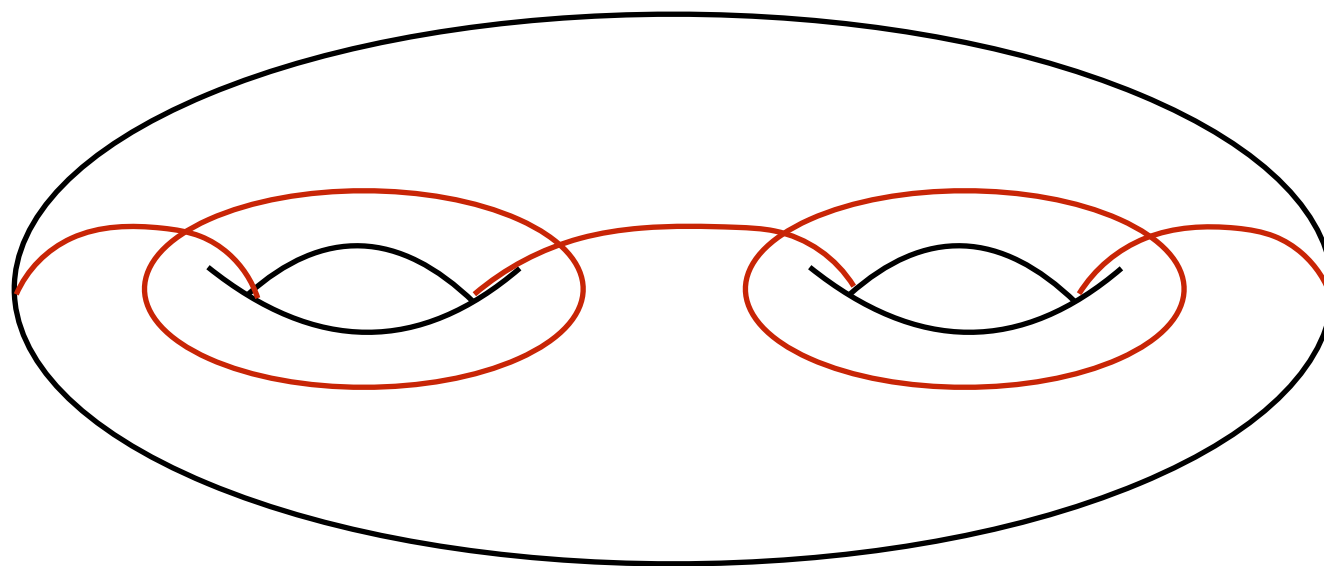
Proof: Just do it! Vaguely reminiscent of other arguments in the theory of diffeomorphism groups.



# From braids to surfaces

To prove Theorem 2, exploit the map

$$f : B_{2g+2} \rightarrow \text{Mod}(\Sigma_g)$$

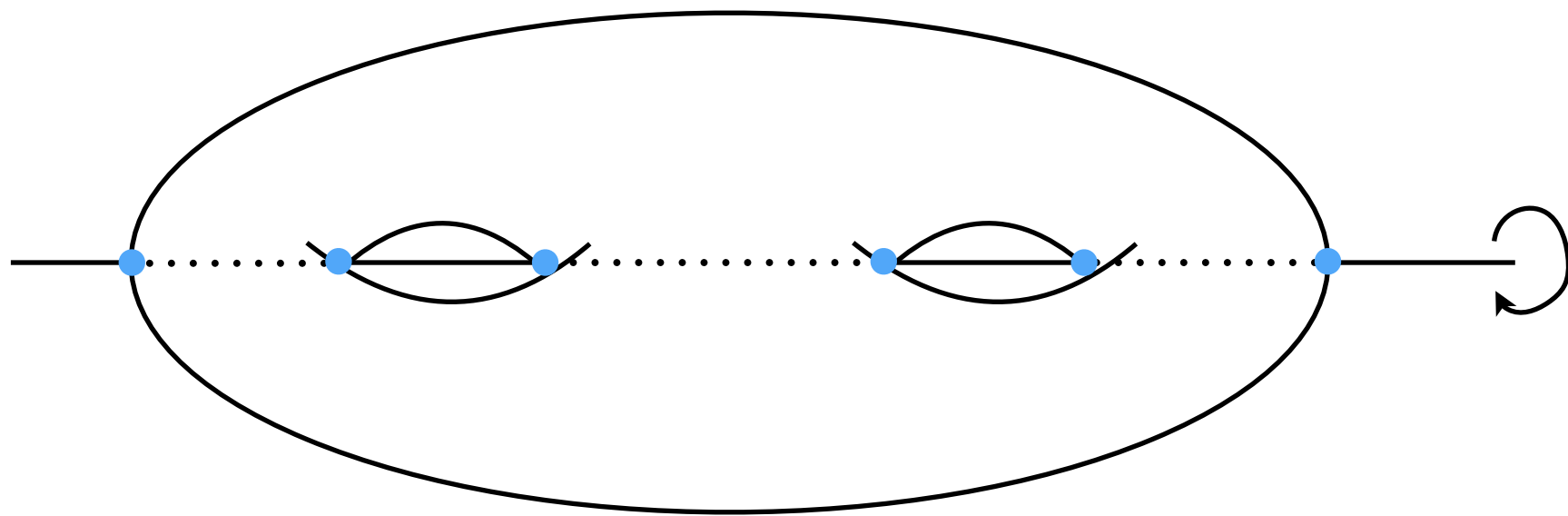


## Outline of proof (II)

To use previous methods: need fixed points

$\text{im}(f)$  commutes with hyperelliptic involution  $\iota$

$\sigma(\iota)$  has  $2g+2$  fixed points (Lefschetz)



## Outline (cont'd)

Basic principle of group actions:  
 $\text{im}(f)$  preserves these points.

Obtain map  $\phi : B_{2g+2} \rightarrow S_{2g+2}$

We show this map has to be the standard one.

Thus we can find fixed points, proceed as before.

# Questions

- Study other subgroups of mapping class groups.  
When is a general  $G \leq \text{Mod}(\Sigma_g)$  realizable by diffeomorphisms?
- In particular, are there surface subgroups of  $\text{Mod}(\Sigma_g)$  that are not realizable? 3-manifold subgroups?
- Are there dynamical approaches to other lifting problems, e.g. for finding a section of
$$\text{Mod}(\Sigma_{g,*}) \rightarrow \text{Mod}(\Sigma_g)$$

Thanks!