

# Stratified braid groups

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# Stratified braid groups

$\mathbf{Conf}_n(\mathbb{C})$ : space of unordered  $n$ -tuples  
 $\{z_1, \dots, z_n\} \subset \mathbb{C}$  of distinct points

Or, space of monic squarefree polynomials:

$$\{z_1, \dots, z_n\} \leftrightarrow p(z) = (z - z_1) \dots (z - z_n)$$

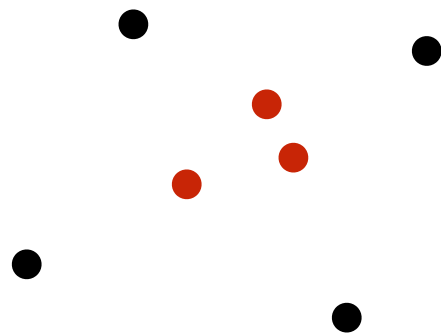
Object of interest today: a *stratification* on  $\mathbf{Conf}_n(\mathbb{C})$ .

**Definition** Fix a partition  $\kappa = \{k_1, \dots, k_p\}$  of  $n-1$ .

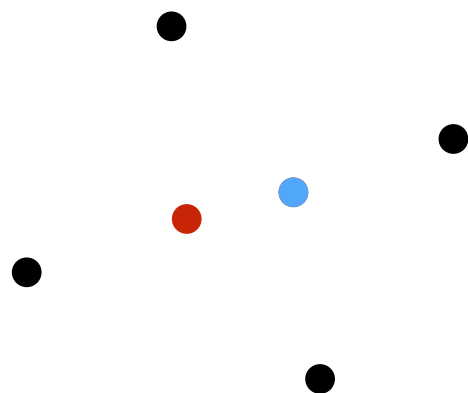
Stratum  $\mathbf{Conf}_n(\mathbb{C})[\kappa]$ : polynomials  $p(z) \in \mathbf{Conf}_n(\mathbb{C})$ ,  
roots of  $p'(z)$  have multiplicity  $\kappa$ .

Note: root of  $p' =$  critical point of  $p$

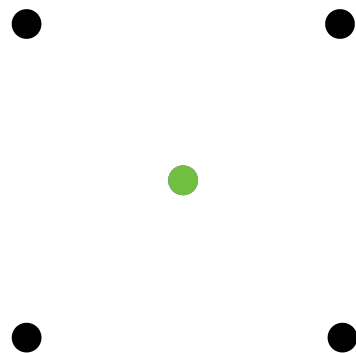
# Stratified braid groups



$$\mathrm{Conf}_4(\mathbb{C})[1^3]$$



$$\mathrm{Conf}_4(\mathbb{C})[2,1]$$



$$\mathrm{Conf}_4(\mathbb{C})[3]$$

## Why?

- A naturally-appearing structure on the space of polynomials
- Can be studied from many different points of view:
  - Singularity theory: discriminant complement
  - Algebraic geometry: Hurwitz spaces, meromorphic differentials
  - Geometry: translation surfaces
  - Topology: fundamental groups,  $K(\pi, 1)$  spaces
- Hope that this is simple enough to actually make progress!

Goal of the talk: explain a little bit of these points of view and how they interact

# Main questions

(1) What are the fundamental groups

$$\mathcal{B}_n[\kappa] := \pi_1(\mathbf{Conf}_n(\mathbb{C})[\kappa]) ?$$

One description: subquotients of  $B_n$  given in terms of Hurwitz spaces

(2) Inclusion  $\mathbf{Conf}_n(\mathbb{C})[\kappa] \rightarrow \mathbf{Conf}_n(\mathbb{C})$  induces hom.

$$\rho : \mathcal{B}_n[\kappa] \rightarrow B_n$$

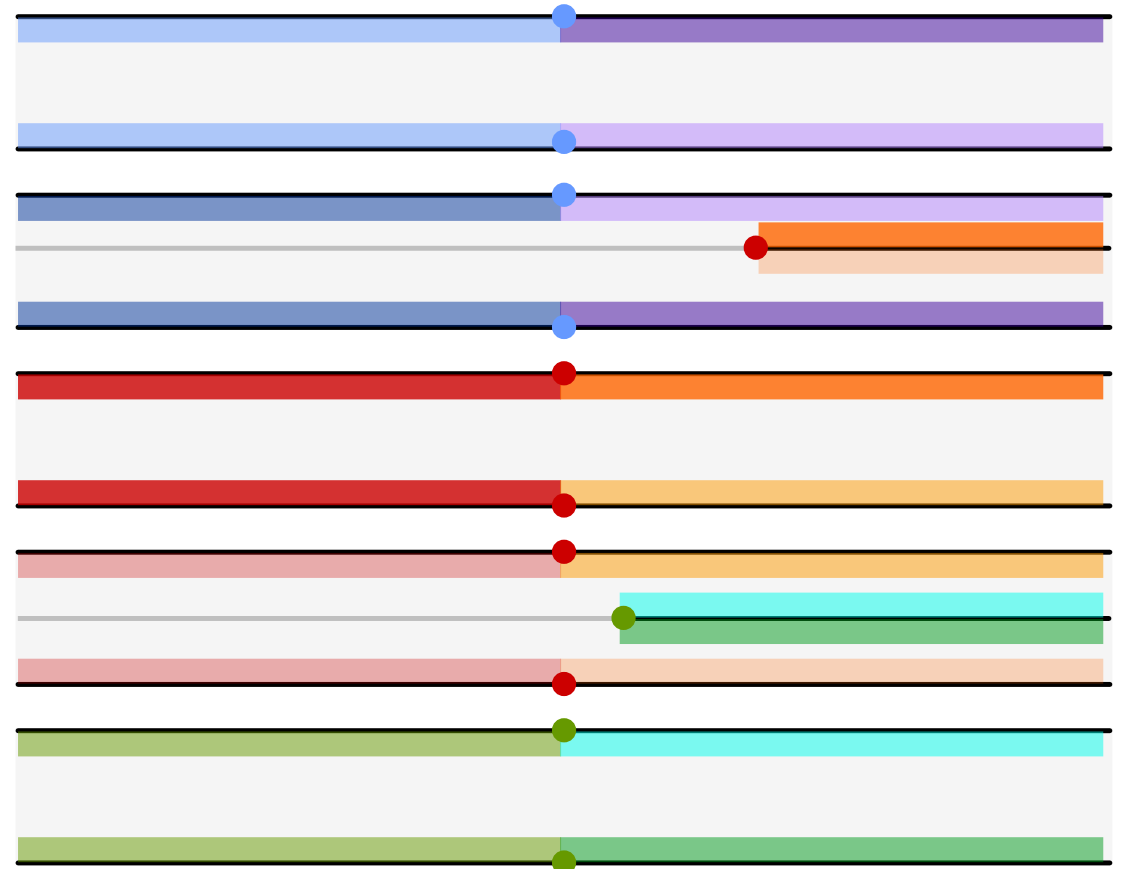
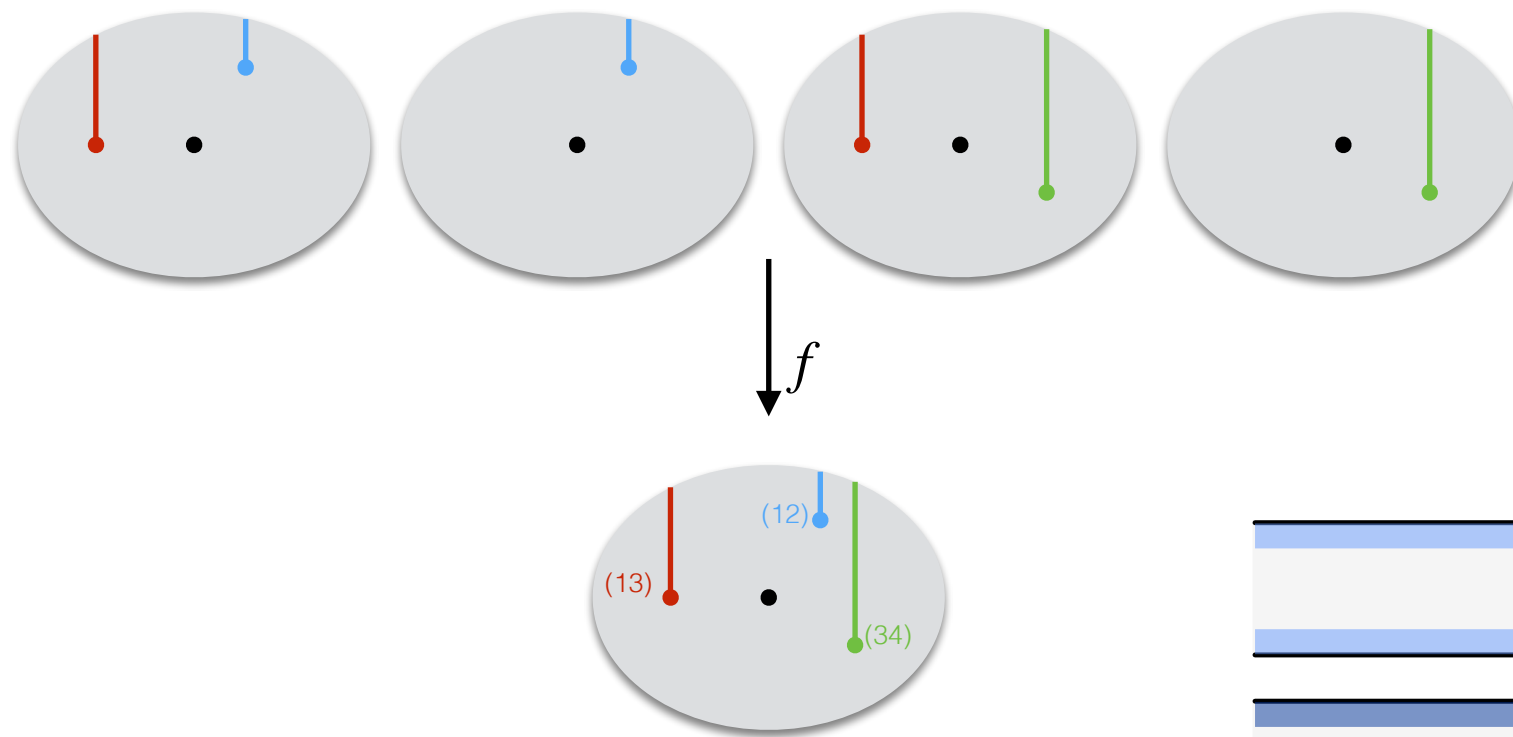
What is the image?

Will present a complete answer.

(3) Is each  $\mathbf{Conf}_n(\mathbb{C})[\kappa]$  a  $K(\pi, 1)$ ?



# Several points of view

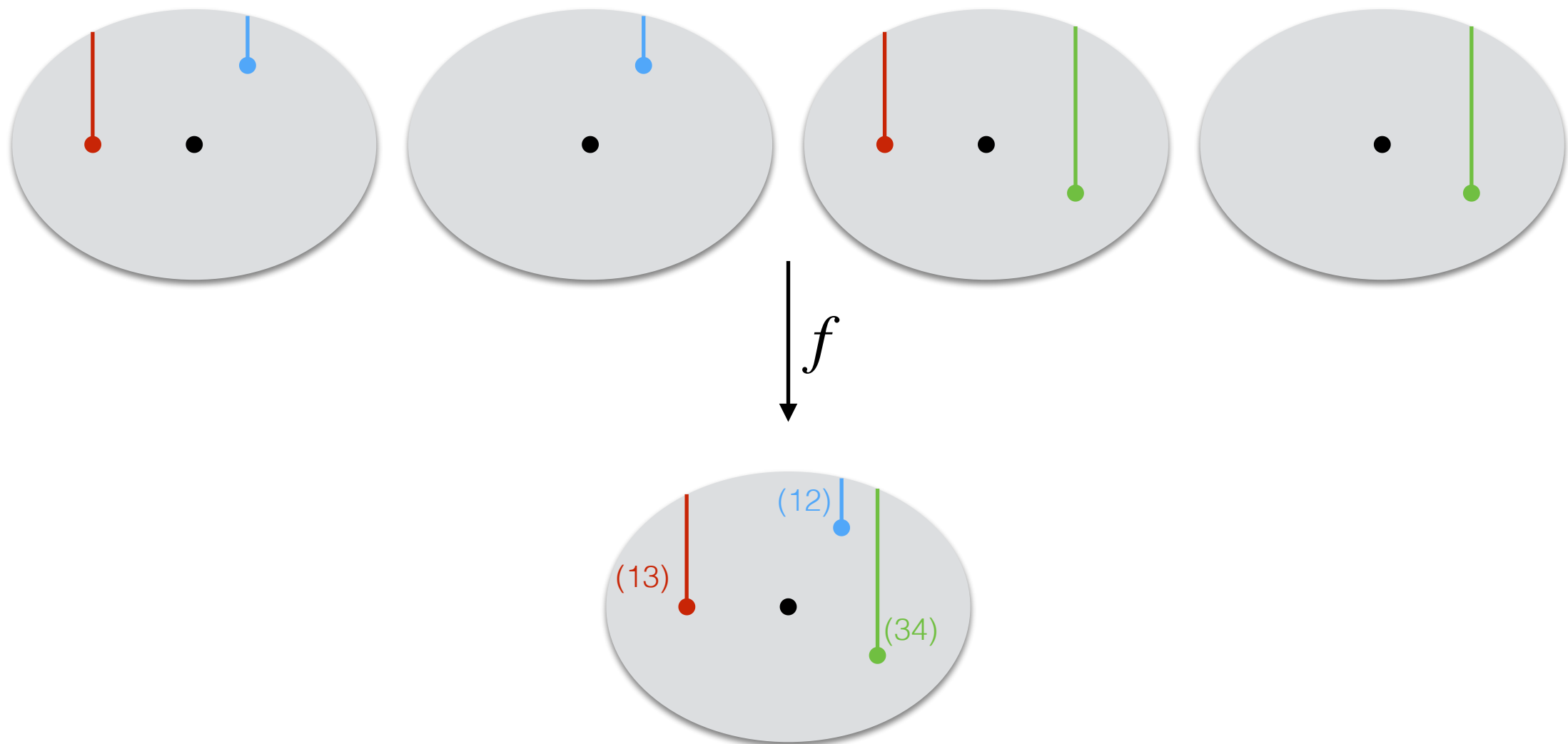


Remainder of the talk: explain how both of these give pictures of  $\mathbf{Conf}_n(\mathbb{C})[\kappa]$ , and comment on how they explain fundamental group, monodromy, and more.

## POV 1: Hurwitz spaces

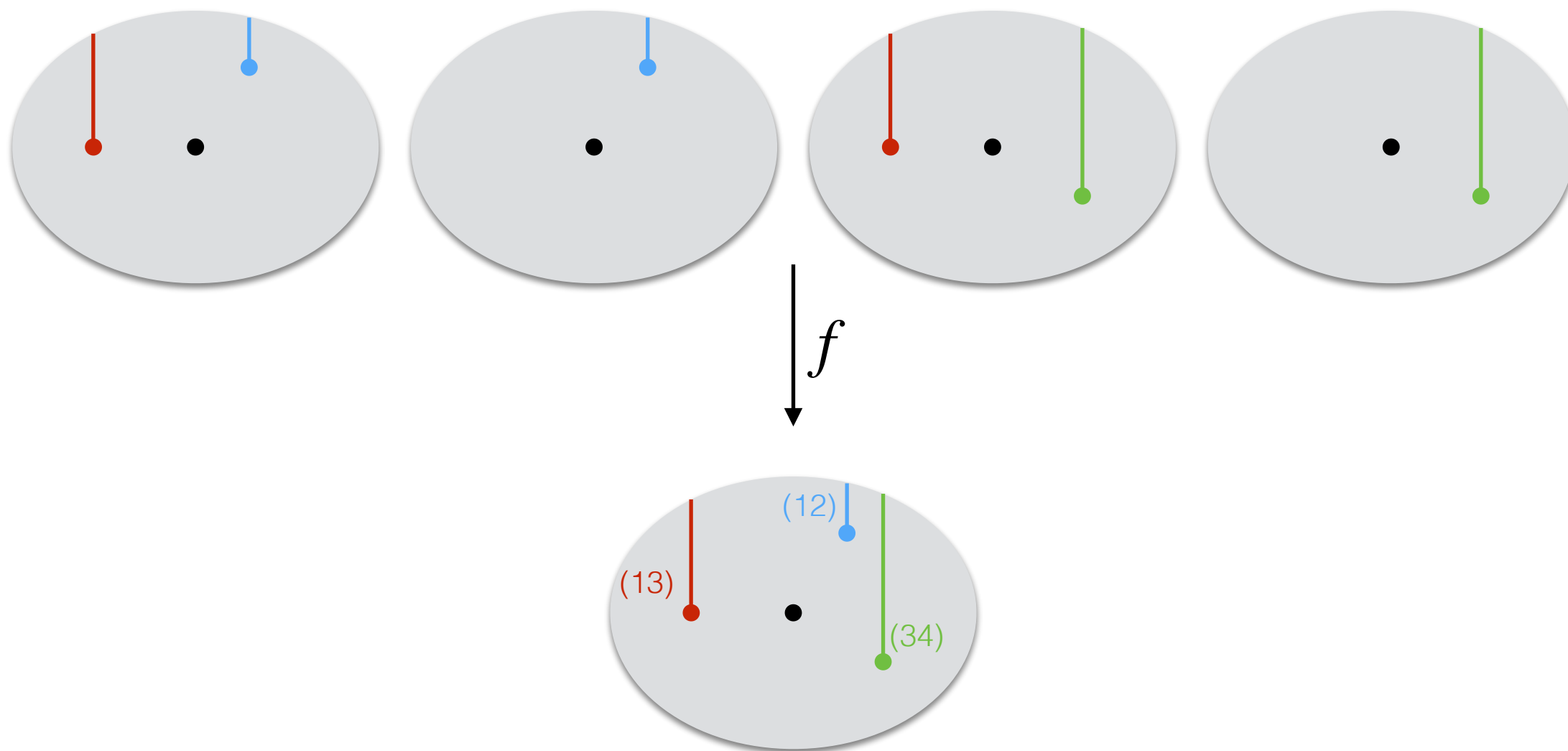
As before:  $\kappa = \{k_1, \dots, k_p\}$  partition of  $n-1$ .

$\text{Hur}(\kappa)$ : space of  $n$ -sheeted branched covers  $f: \mathbb{C} \rightarrow \mathbb{C}$  with  $p$  *cyclic* branched points of orders  $k_1 + 1, \dots, k_p + 1$ .



# POV 1: Hurwitz spaces

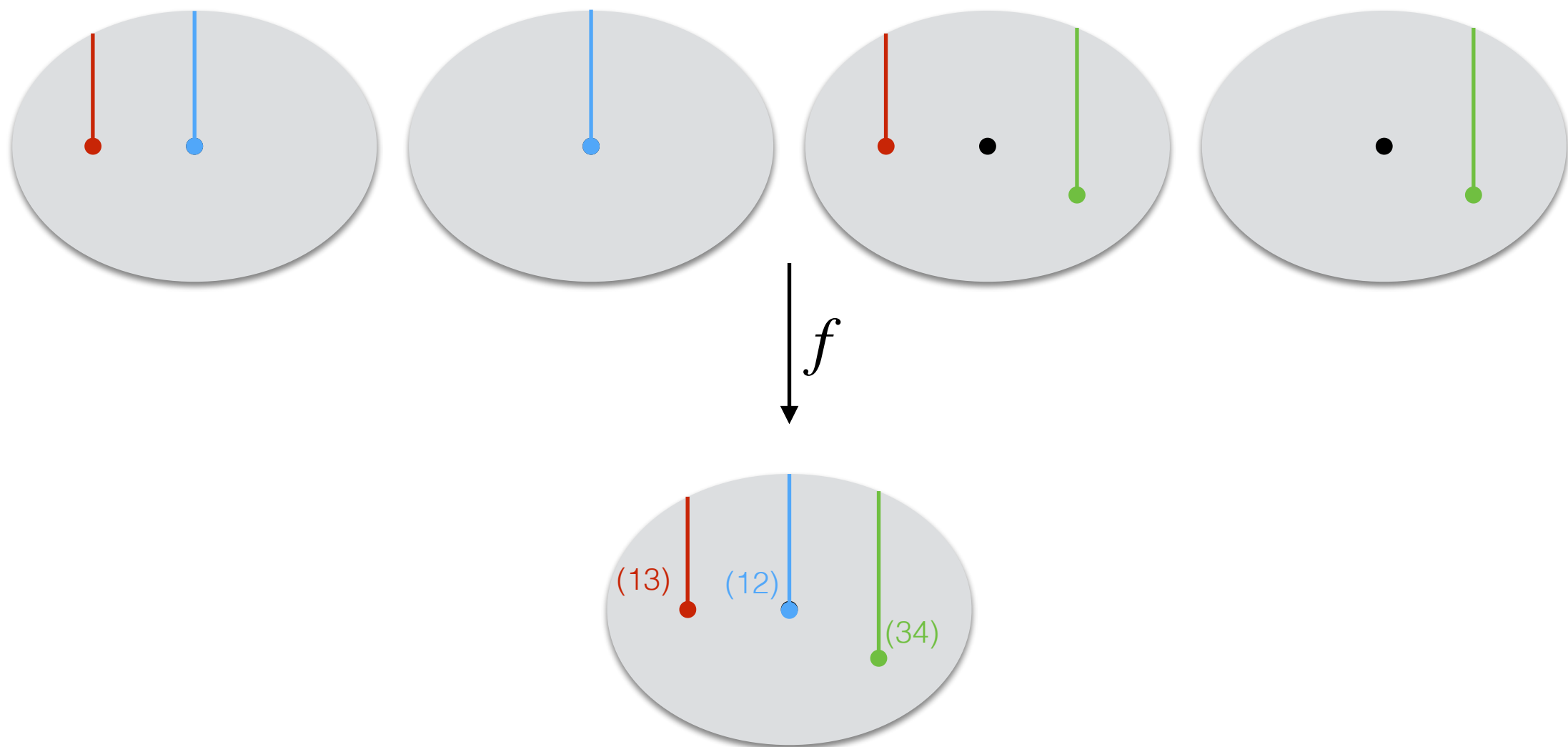
$\text{Hur}(\kappa)^\circ \subset \text{Hur}(\kappa)$ :  $0 \in \mathbb{C}$  is a regular value.





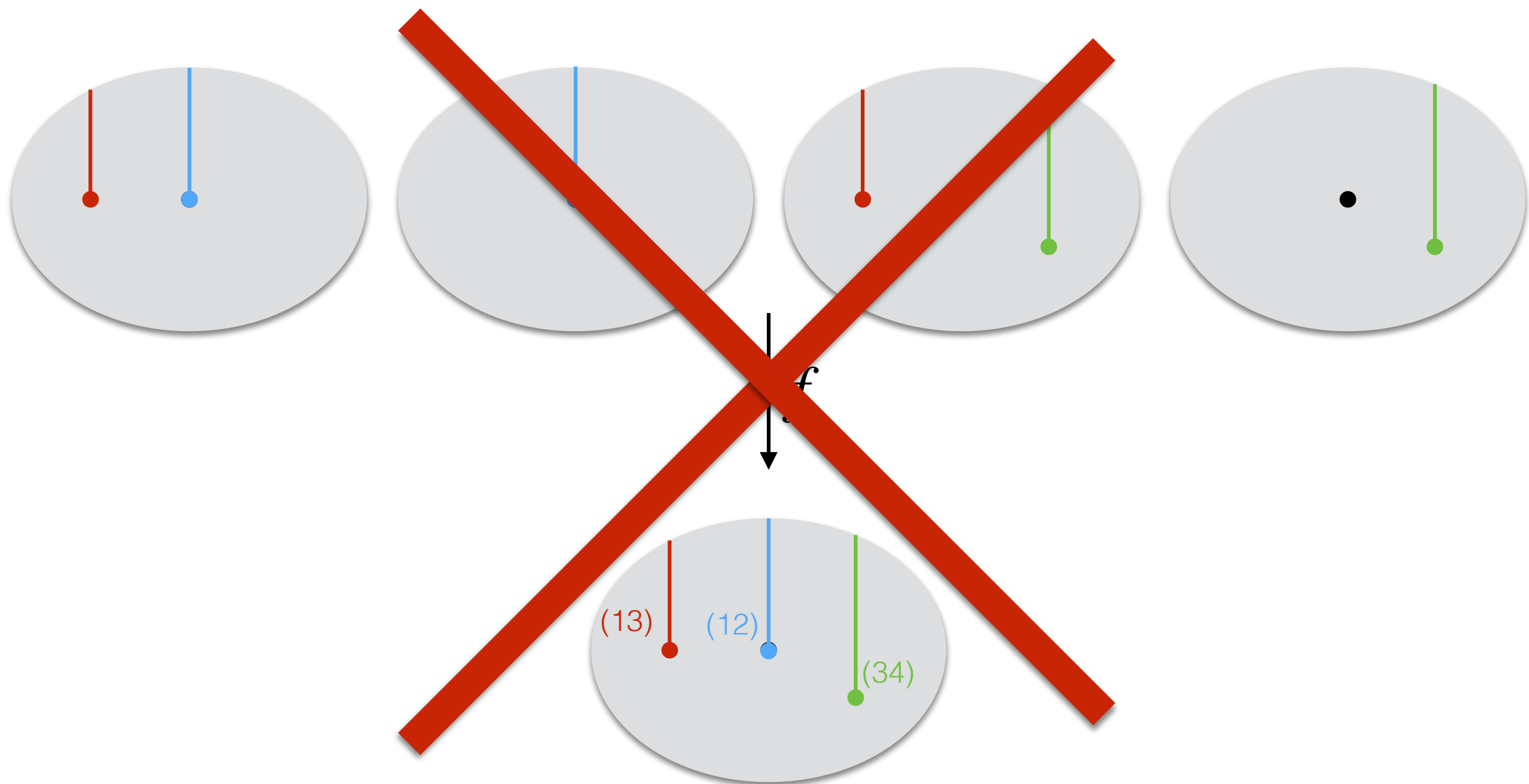
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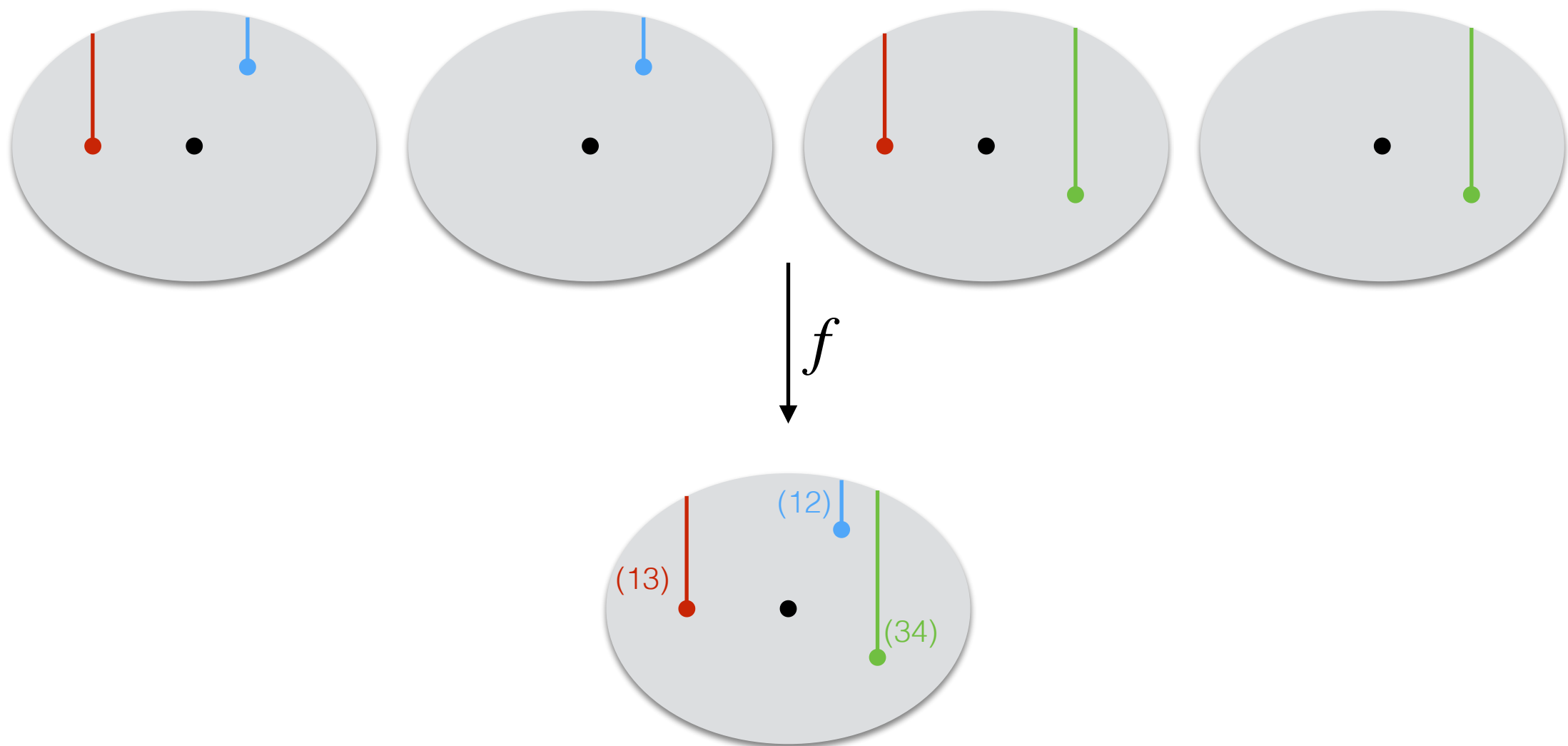
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Can join critical *values* as long as the critical *points* remain distinct.

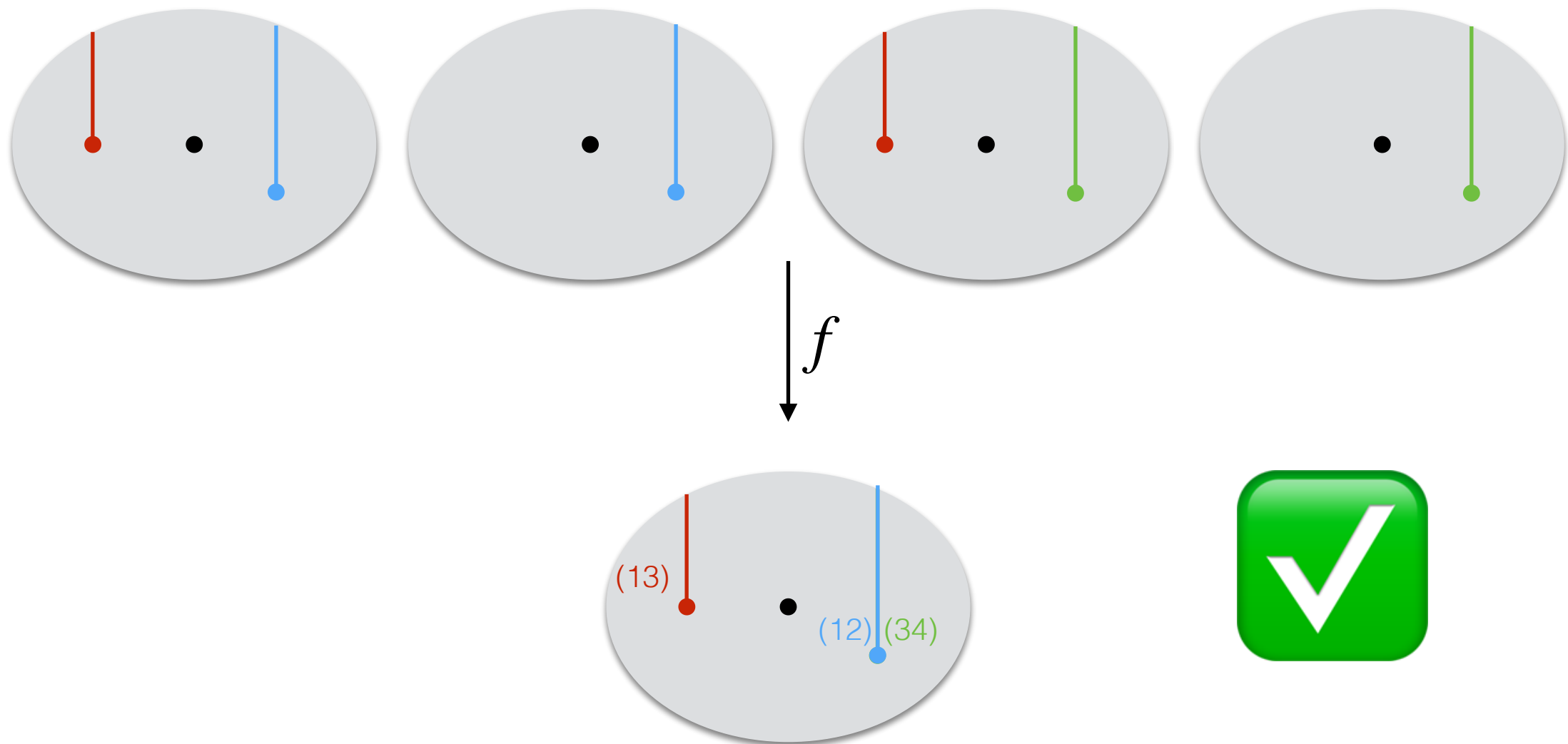


## POV 1: Hurwitz spaces

Can join critical *values* as long as the critical *points* remain distinct.

These are smaller Hurwitz spaces.

In terms of permutations, can join  $\sigma \in S_p$  to  $\tau$  iff the supports are disjoint.



# POV 1: Hurwitz spaces

**Theorem** There is a decomposition

$$\mathrm{Conf}_n(\mathbb{C})[\kappa] = \bigcup \mathrm{Hur}(\kappa')^\circ$$

where  $\kappa'$  ranges over all “admissible degenerations” of  $\kappa$ .

**Corollary** There is a presentation

$$\mathcal{B}_n[\kappa] \cong \pi_1(\mathrm{Hur}(\kappa)^\circ) / \langle \langle \mu_{\kappa',i} \rangle \rangle$$

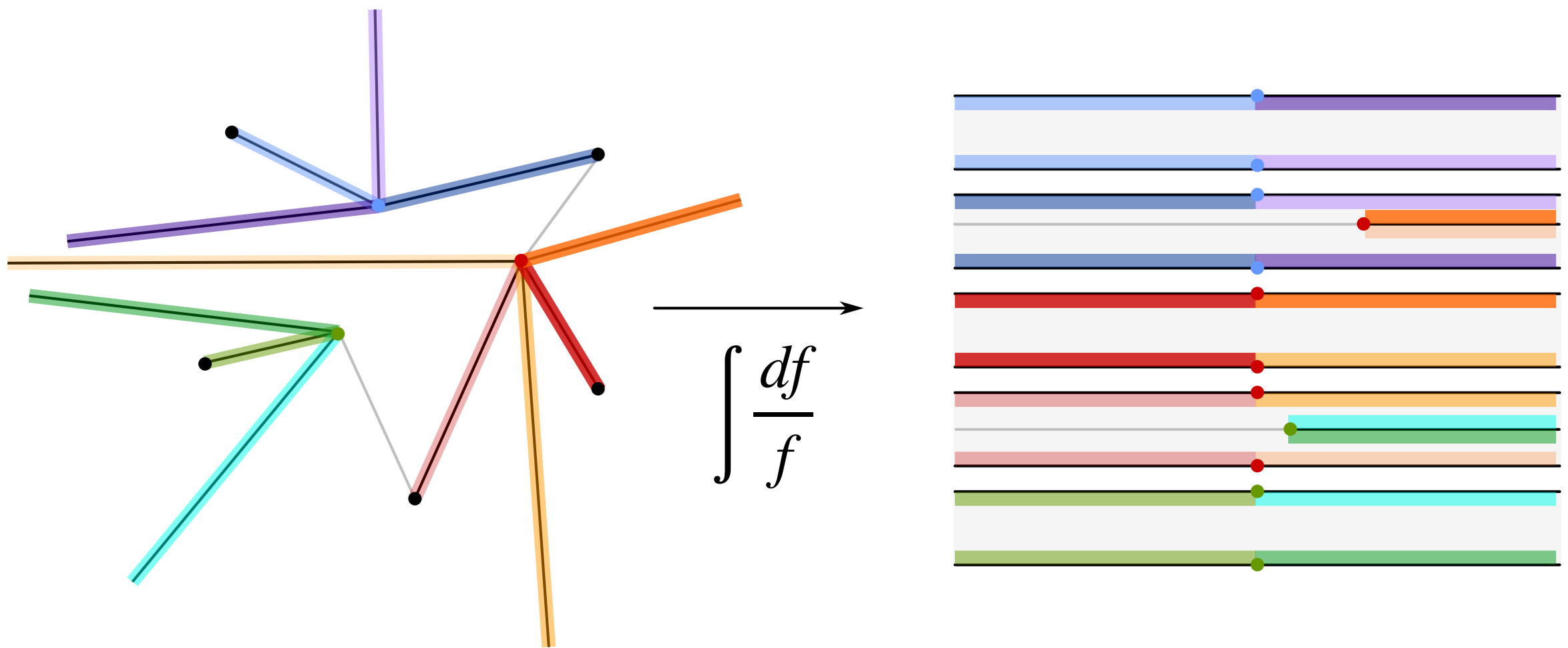
where  $\{\mu_{\kappa',i}\}_i$  comprise a set of meridians around components of  $\mathrm{Hur}(\kappa')^\circ$  with a *single* degeneration

Note: in general,  $\mathrm{Hur}(\kappa')^\circ$  has many components.

## POV 2: translation surfaces

There is another way to study this space.

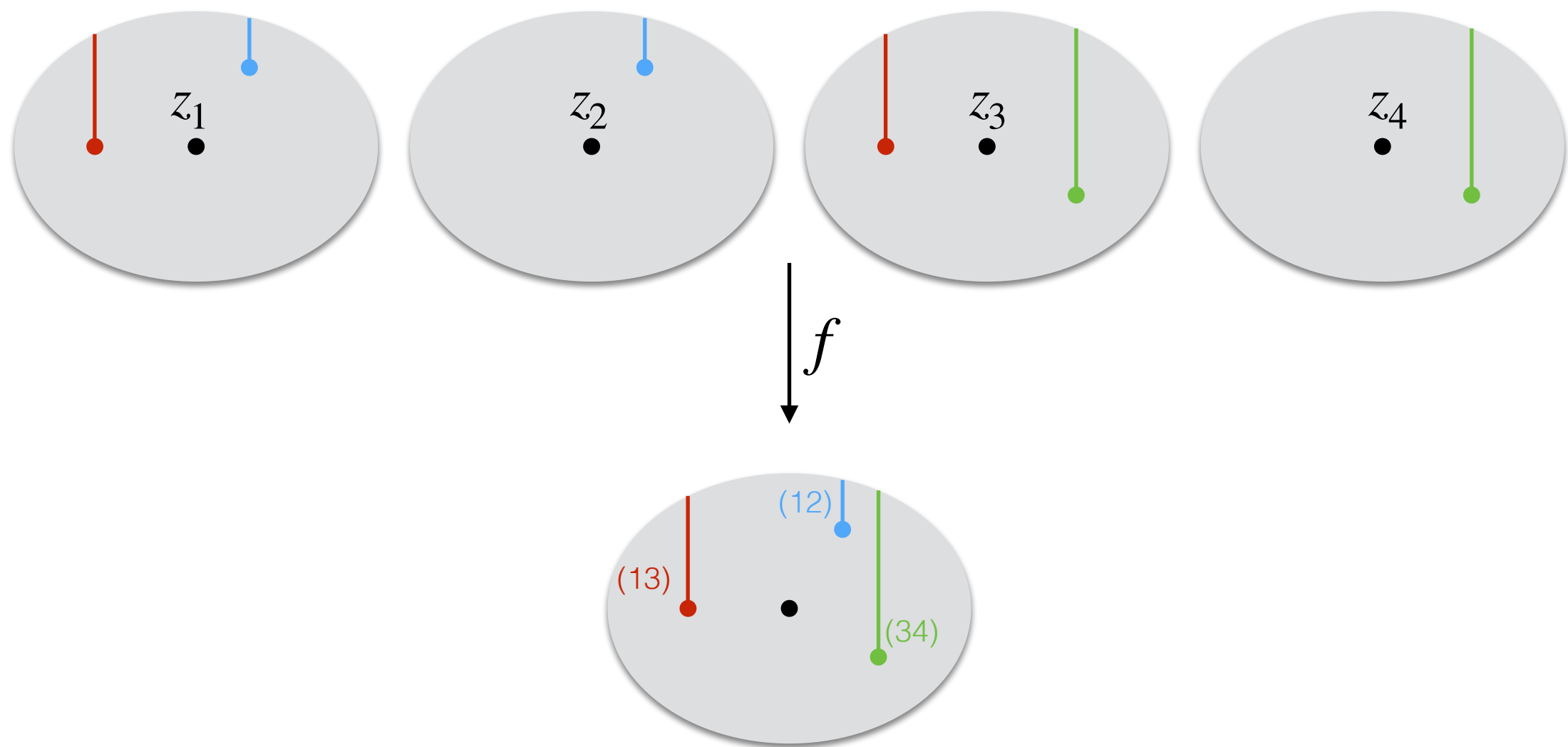
Correspondence: meromorphic differentials  $\leftrightarrow$  *translation surfaces*



# Monodromy

Both pictures inform the monodromy question.

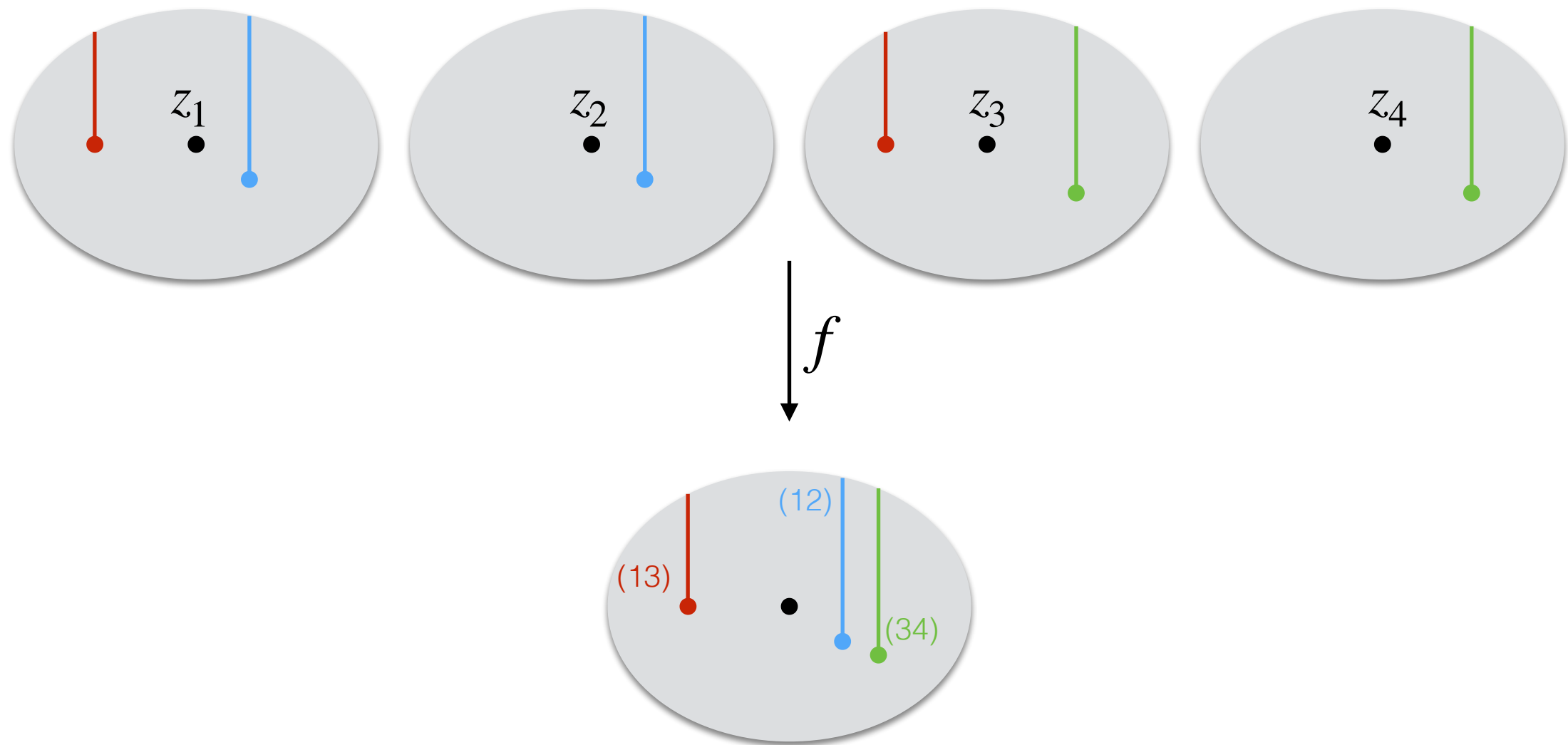
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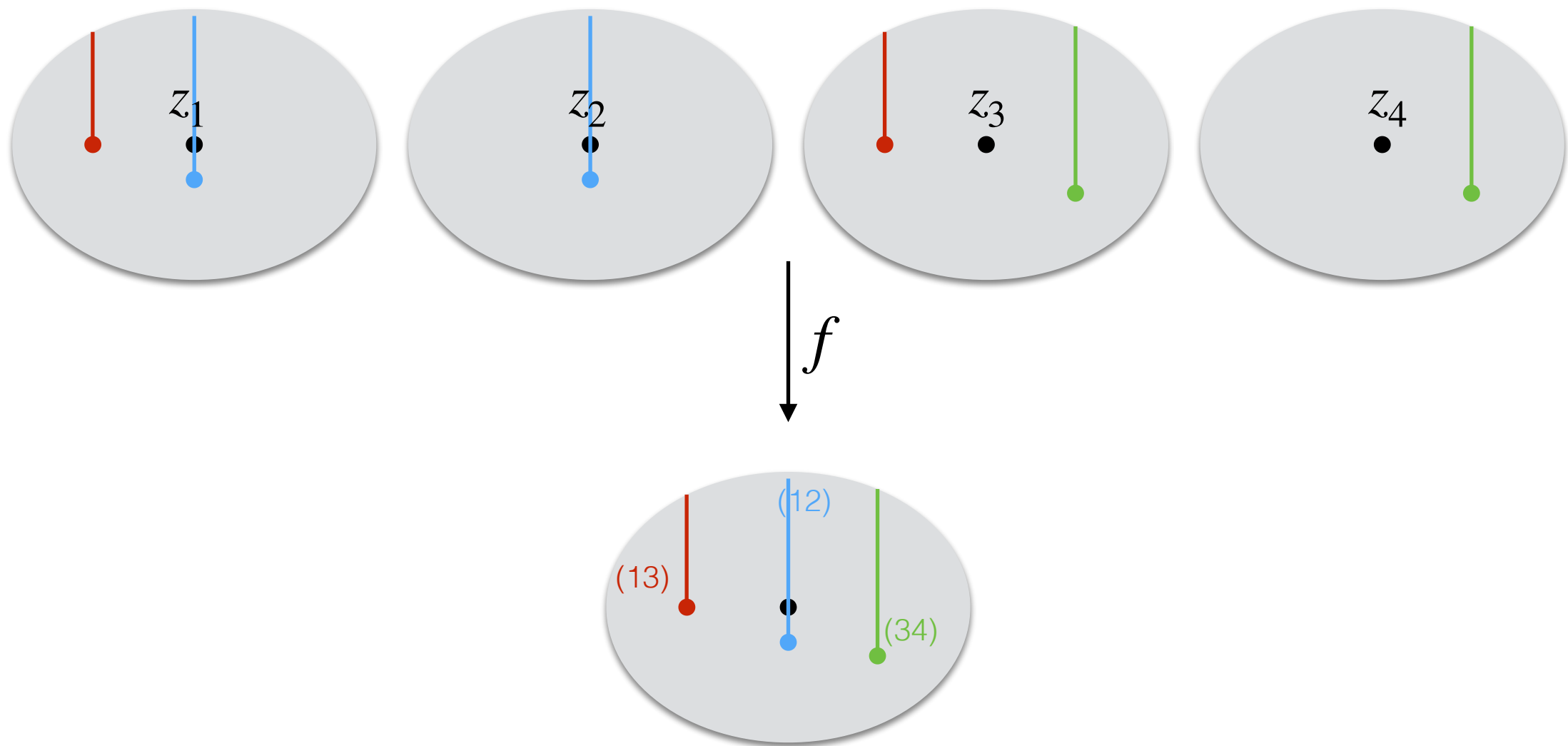




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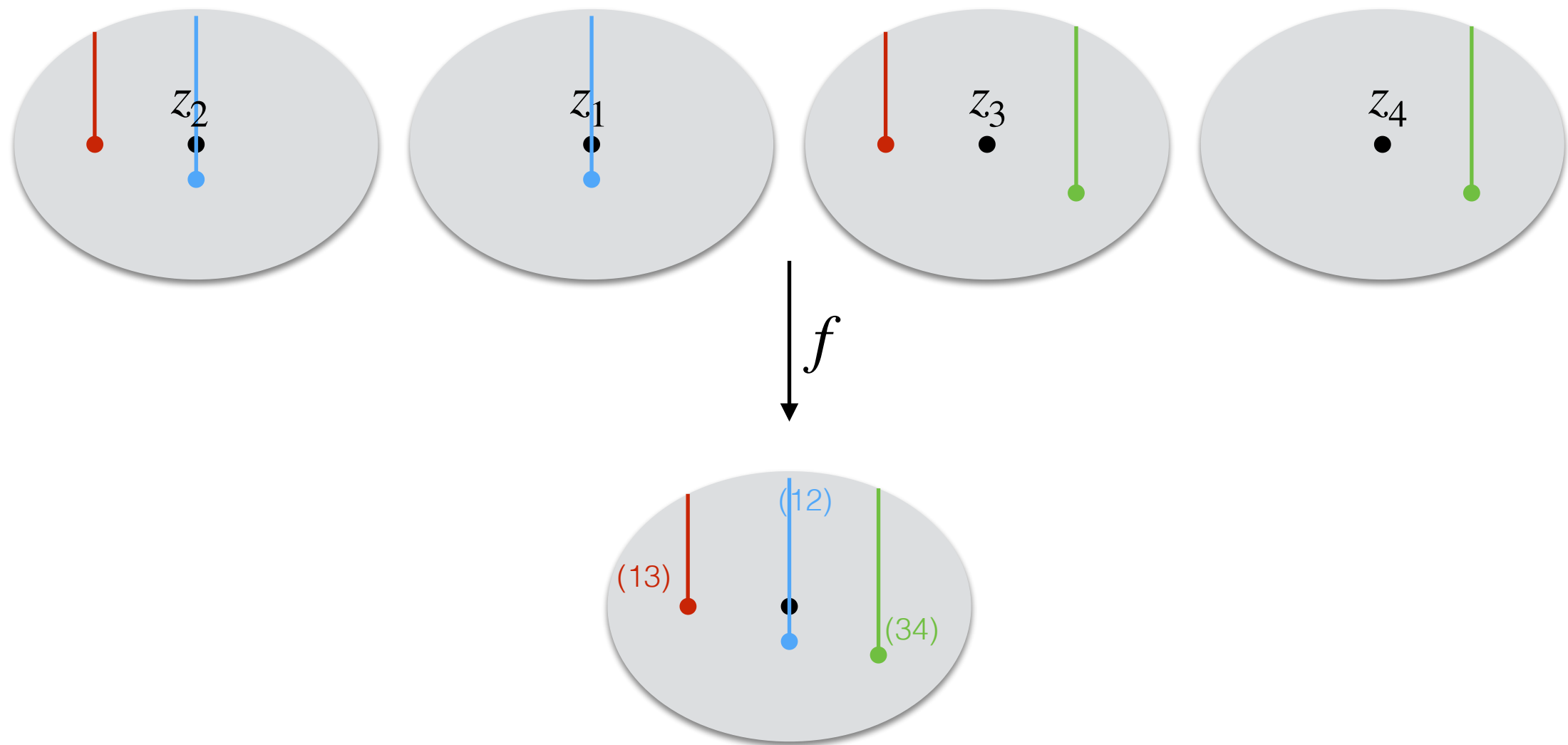
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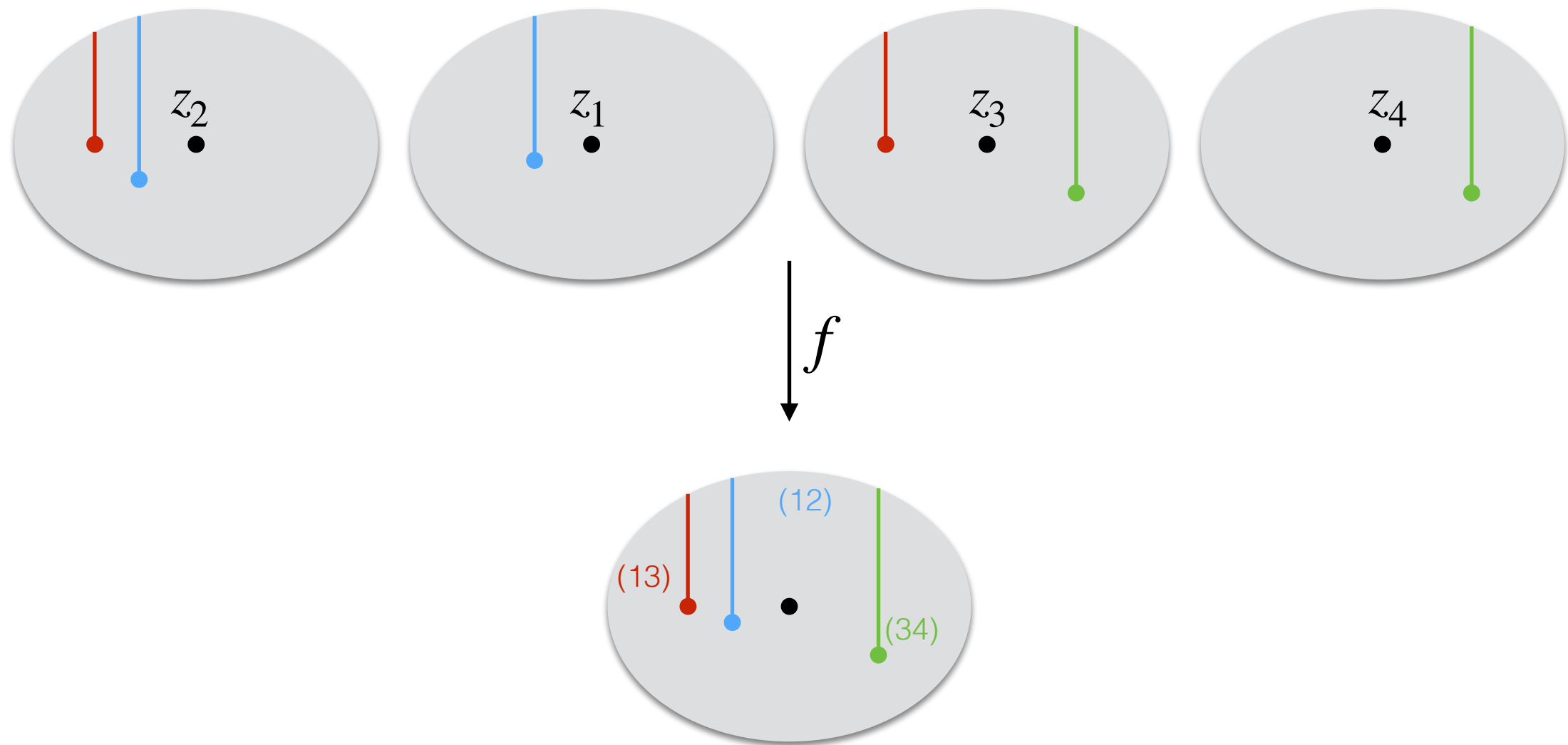
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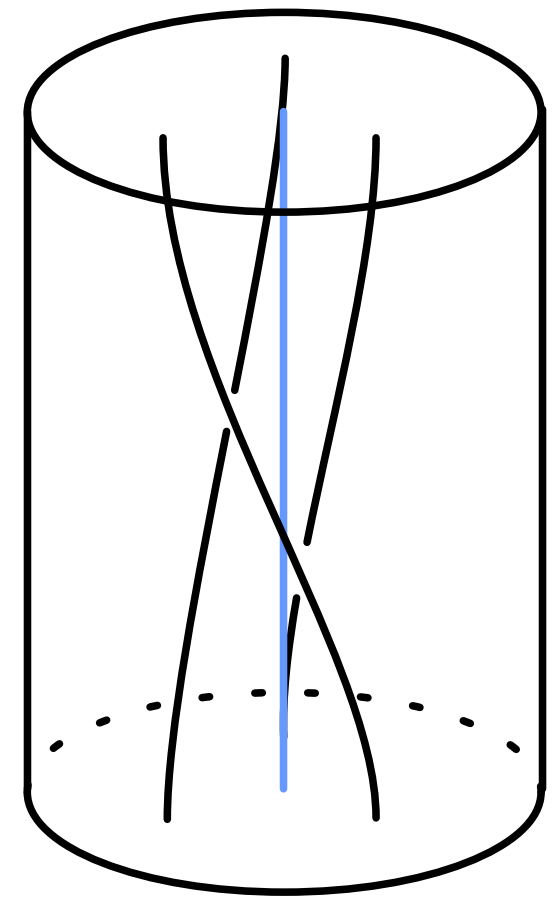


# Monodromy

Clear from Hurwitz space picture: local monodromy at a critical point of order  $k$  is a rotation  $\sigma_k$  of  $k + 1$  points.

Simple braid calculation:

Given elements  $\sigma_a, \sigma_b$  on  $a + 1$  (resp.  $b + 1$ ) points, can do Euclidean algorithm to get  $\sigma_{\gcd a, b}$ .



Guess: monodromy  $B_n[\kappa] \leq B_n$  is group  $\Gamma_n^r$  generated by elements  $\sigma_r$ , where  $r = \gcd(\kappa)$ .

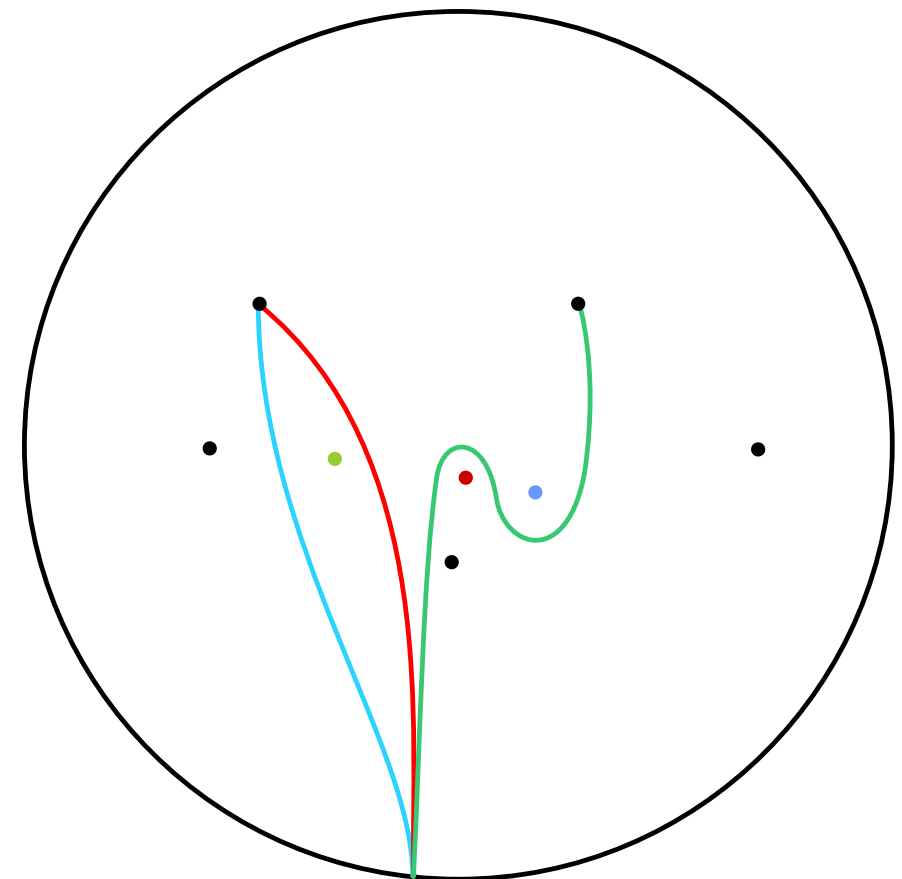
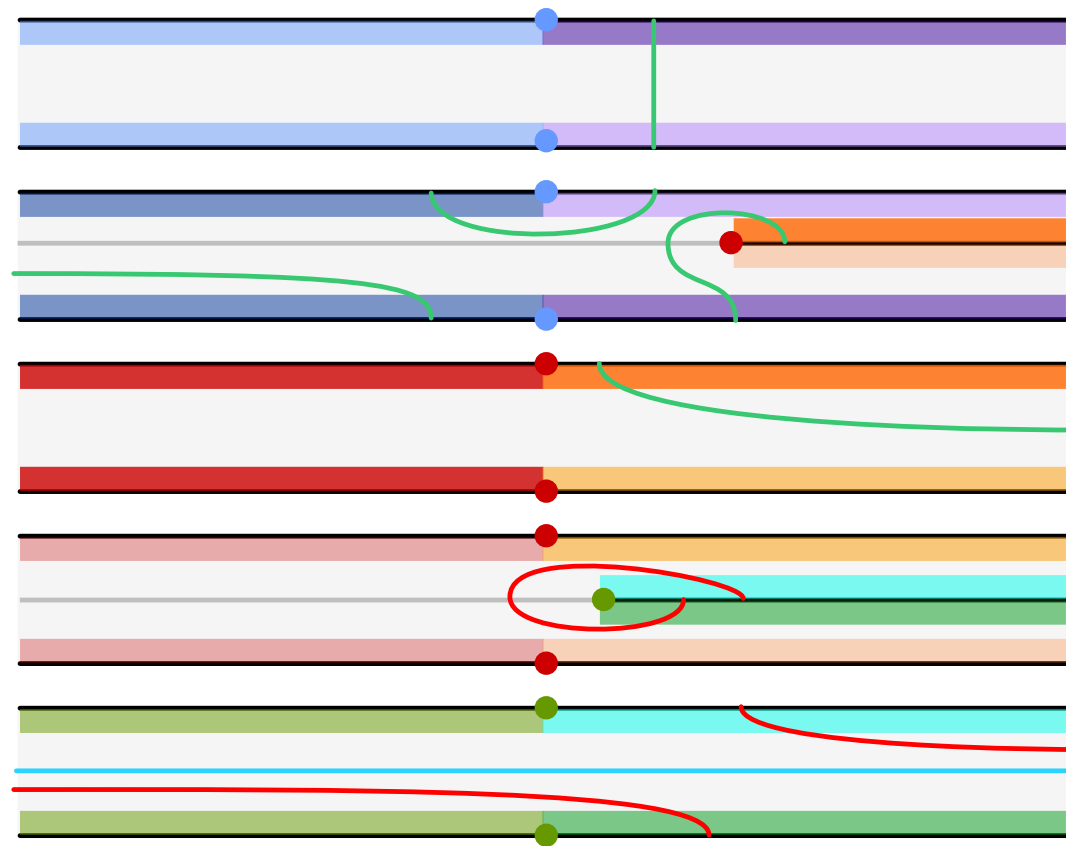
But:

(1) How do you generate  $\Gamma_n^r$ ?

(2) What is  $\Gamma_n^r$ ? Is it finite-index in  $B_n$ ? Does it have another description?

# Winding numbers

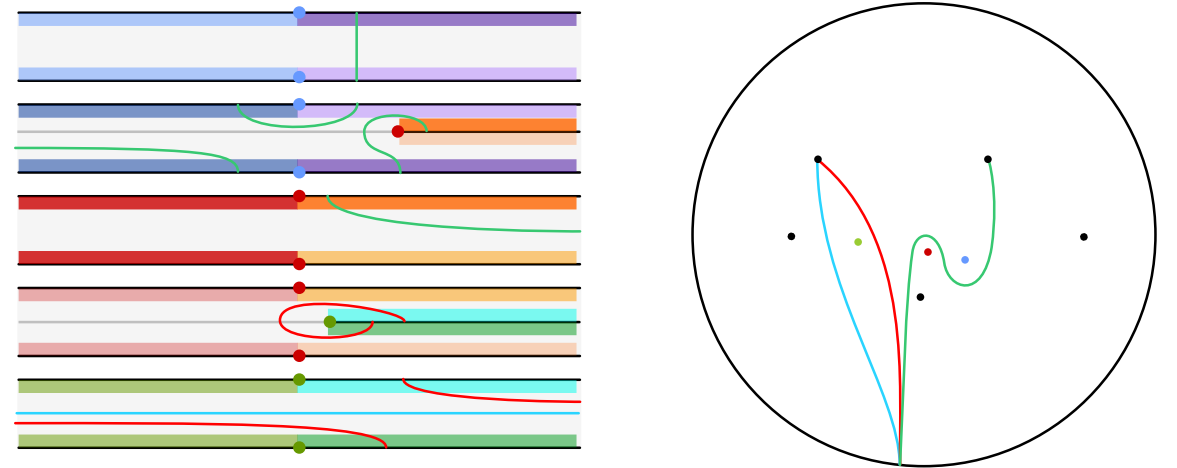
We answer these by looking at the translation surface picture.



# Winding numbers

We answer these by looking at the translation surface picture.

We show that there is a well-defined notion of *winding number* of arcs, visible from the translation surface structure.



**Crucial observation** Any braid obtained by deforming our *flat* surfaces *must preserve the winding numbers of all arcs*.

Move an arc across a critical point of order  $k_i$ : WN changes by  $k_i$ .

Without tracking critical points, can only measure WN mod  $r := \gcd(\kappa)$ .

Get “change of WN” (crossed) homomorphism  $\phi_r : B_n \rightarrow (\mathbb{Z}/r\mathbb{Z})^n$ .

$$B_n[\kappa] \leq \ker(\phi_r)$$

# Monodromy

## Theorem

For  $n$  sufficiently large w.r.t.  $r = \gcd(\kappa)$ , the following are equivalent:

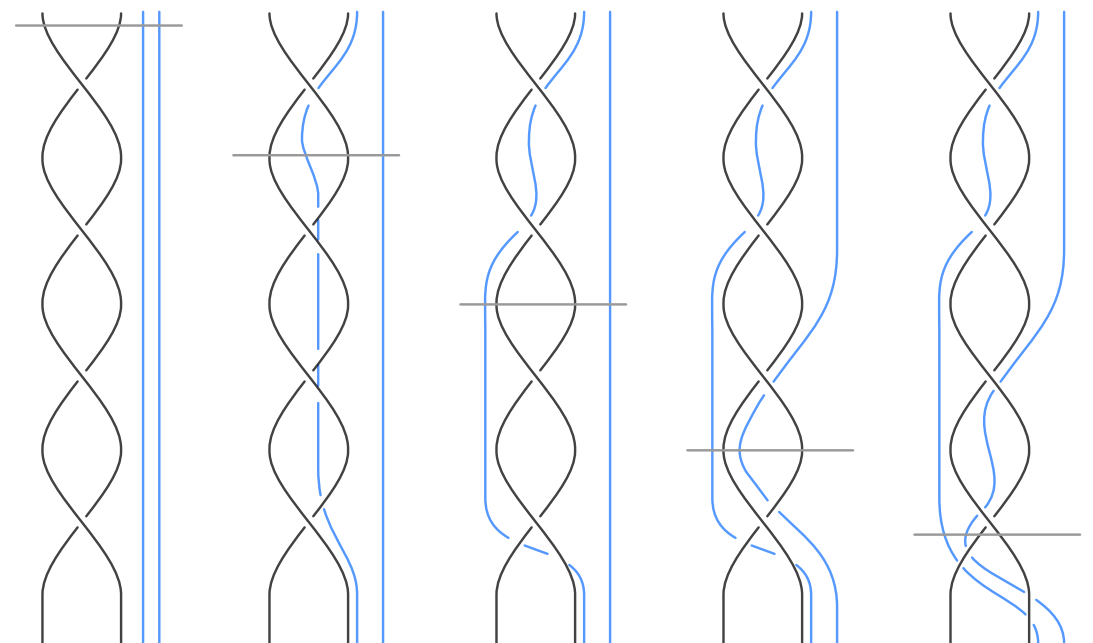
- The monodromy  $B_n[\kappa] \leq B_n$ ,
- The group  $\Gamma_n^r$  generated by rotations  $\sigma_r$  of  $r + 1$  points,
- The kernel of  $\phi_r : B_n \rightarrow (\mathbb{Z}/r\mathbb{Z})^n$ .

In particular, the index  $[B_n : B_n[\kappa]]$  is finite.

Note also that  $B_n[\kappa] = B_n$  if  $r = 1$ ; here we need  $n \geq 5$ .

One-sentence proof:

Develop a *factorization algorithm* to express braids in  $\ker(\phi_r)$  as products of  $\sigma_r$

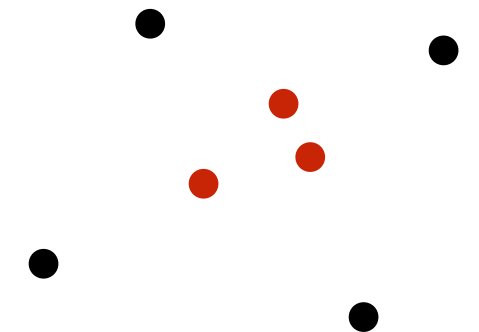


# Gauss-Lucas for equicritical families

As a final note, want to discuss a connection with a very classical story.

Theorem  
(Gauss-Lucas)

The critical points of a polynomial  $p$  lie inside the convex hull of the roots.



Question: Fix a partition  $\kappa$  of  $n - 1$ . What motions (braids) of  $n + p$  points can you see in  $\mathbf{Conf}_n(\mathbb{C})[\kappa]$ ? In other words, what is the monodromy  $\rho : \mathbf{Conf}_n(\mathbb{C})[\kappa] \rightarrow B_{n+p}$ ?

Gauss-Lucas requires the “critical braid” to lie in the convex hull of the “root braid”.

Our monodromy theorem says *this is not sufficient* when  $r > 1$ , but in fact this is general.



# Gauss-Lucas for equicritical families

Our monodromy theorem says *this is not sufficient* when  $r > 1$ , but in fact this is general.

When tracking critical points, winding numbers lift from  $\mathbb{Z}/r\mathbb{Z}$  to  $\mathbb{Z}$ .

And for instance, this braid is “convex” but violates the winding number condition.

