

Note: throughout,  $X$  denotes a compact Riemann surface.

(1) Prove that the local coordinates definition we gave of  $\bar{\partial}$ , namely

$$\bar{\partial}f = \frac{\partial f}{\partial \bar{z}}(z)d\bar{z},$$

is well-defined on a Riemann surface, i.e. independent of the choice of local holomorphic coordinate  $z$ .

**Solution:** Suppose  $w$  is another choice of local coordinate, with  $z = z(w)$  holomorphic. Constructing  $\bar{\partial}f$  in  $z$ -coordinates and then applying the change of coordinates formula from  $z$  to  $w$  yields formally

$$\begin{aligned}\bar{\partial}f &= \frac{\partial f}{\partial \bar{z}}(z(w))d(\bar{z}(w)) \\ &= \frac{\partial f}{\partial \bar{z}}(z(w)) \left( \frac{\partial \bar{z}}{\partial w}(w)dw + \frac{\partial \bar{z}}{\partial \bar{w}}(w)d\bar{w} \right).\end{aligned}$$

Since  $z$  is a holomorphic function of  $w$ , also  $\bar{z}$  is an *anti*-holomorphic function of  $w$ , so that the first term  $\frac{\partial \bar{z}}{\partial w} = 0$ . Then applying the chain rule,

$$\begin{aligned}\frac{\partial f}{\partial \bar{z}}(z(w)) \left( \frac{\partial \bar{z}}{\partial w}(w)dw + \frac{\partial \bar{z}}{\partial \bar{w}}(w)d\bar{w} \right) &= \frac{\partial f}{\partial \bar{z}}(z(w)) \frac{\partial \bar{z}}{\partial \bar{w}}(w)d\bar{w} \\ &= \frac{\partial f}{\partial \bar{w}}(w)d\bar{w},\end{aligned}$$

which is the expression used to define  $\bar{\partial}f$  locally in  $w$ -coordinates, as desired.

(2) Let  $\omega \in \Omega(X)$  be a holomorphic 1-form. Prove that for any  $p \in X$ , there is a coordinate  $z$  on  $X$  near  $p$  in which  $\omega = z^n dz$  for some  $n \geq 0$ .

**Solution:** Choose a local coordinate  $w$  near  $p$ , so that  $\omega = f(w)dw$ ; normalize so that  $p$  is sent to 0. There is then a unique holomorphic function  $g(w)$  such that  $g(0) \neq 0$  and such that  $f(w) = w^n g(w)$  for some  $n \geq 0$ . Let's try and reverse-engineer the properties of the desired coordinate  $z$ : what properties must  $z = z(w)$  satisfy if  $\omega = z^n dz$  in  $z$ -coordinates?

Writing  $z = z(w)$ , we compute the pullback, and compare to what we already have:

$$\omega = z(w)^n dz = z(w)^n \frac{dz}{dw} dw = w^n g(w) dw.$$

Comparing coefficients, we obtain the separable differential equation

$$z^n dz = w^n g(w) dw = f(w) dw$$

Solving by integrating, we find that  $z = ((n+1) \int f(w) dw)^{1/(n+1)}$  is the desired expression. To check that it is a *coordinate*, it suffices by the inverse function theorem to check that  $dz/dw(0) \neq 0$ . We obtained an expression for  $dz/dw$  above:

$$\frac{dz}{dw} = \frac{w^n}{z^n} g(w).$$

Since  $f$  vanishes to order  $n$  at  $w = 0$ ,  $\int f(w)dw$  vanishes to order  $n + 1$ , so that  $z$  vanishes to order 1 at  $w = 0$ . We conclude that  $w^n/z^n$  is nonzero, and since  $g(0) \neq 0$  by construction as well, we conclude that  $dz/dw \neq 0$  as desired.

**A comment:** it is no accident that where  $\omega$  is nonvanishing, the coordinate is given by integrating  $\omega$  itself. After all, a function  $f : U \rightarrow \mathbb{C}$  with local coordinate  $w$  determines a coordinate  $z = f(w)$  near  $p \in U$  if and only if  $f_w \neq 0$ . In the situation where  $\omega$  is nonvanishing at  $p$ , integrating  $\omega = f(w)dw$  therefore gives a local coordinate  $z$  near  $p$ ; in these coordinates,  $dz$  on the codomain pulls back to  $d \int f(w)dw = f(w)dw = \omega$  as desired!

(3) (Harmonic functions and forms)

- (a) Let  $u : X \rightarrow \mathbb{C}$  be a smooth complex-valued function. Prove that the following conditions are equivalent; such  $u$  is called a *harmonic function*.
- (i)  $\Delta u = d^2u/dx^2 + d^2u/dy^2 = 0$  in local coordinates  $z = x + iy$ ,
  - (ii)  $\bar{\partial}\partial u = 0$ ,
  - (iii)  $\partial u$  is a holomorphic 1-form,
  - (iv)  $\bar{\partial}u$  is an anti-holomorphic 1-form,
  - (v) Locally,  $u = f + \bar{g}$  where  $f, g$  are holomorphic.
- (b) Now let  $\alpha$  be a 1-form on  $X$ . Prove that the following conditions are equivalent; such  $\alpha$  is called a *harmonic 1-form*.
- (i) Locally  $\alpha = du$  with  $u$  harmonic,
  - (ii)  $\partial\alpha = \bar{\partial}\alpha = 0$ ,
  - (iii) There exist  $\omega_1, \omega_2 \in \Omega(X)$  such that  $\alpha = \omega_1 + \bar{\omega}_2$ .

**Solution:** We begin with (a). To show the equivalence of (i) and (ii), we expand:

$$\bar{\partial}\partial u = \frac{\partial^2 u}{\partial \bar{z} \partial z} d\bar{z} dz$$

As  $\partial/\partial z = \partial/\partial x - i\partial/\partial y$  and  $\partial/\partial \bar{z} = \partial/\partial x + i\partial/\partial y$ , we compose these to see

$$\frac{\partial^2 u}{\partial \bar{z} \partial z} = \frac{\partial}{\partial \bar{z}} \left( \frac{\partial u}{\partial x} - i \frac{\partial u}{\partial y} \right) = \frac{\partial^2 u}{\partial x^2} + i \frac{\partial^2 u}{\partial y \partial x} - i \frac{\partial^2 u}{\partial x \partial y} + \frac{\partial^2 u}{\partial y^2} = \Delta u,$$

with the last equality holding by commutativity of mixed partials. This shows the equivalence of (i) and (ii).

Supposing  $\bar{\partial}\partial u = 0$ , we see that  $\partial u = \partial u/\partial z dz$  is of type  $(1, 0)$  by construction, and then the condition  $\bar{\partial}\partial u = 0$  just asserts that  $\partial u$  is holomorphic. The converse holds by retracing the argument backwards. Since  $\bar{\partial}\partial = -\partial\bar{\partial}$  (the minus sign coming from the fact that  $d\bar{z}dz = -dzd\bar{z}$ ), repeating this same argument then shows the equivalence of (ii) with (iv). Finally, since  $\partial u$  is holomorphic, it has a local expression via  $\partial u = df$  for  $f$  holomorphic, and likewise  $\bar{\partial}u = d\bar{g}$ , again for  $g$  holomorphic. Then  $du = (\partial + \bar{\partial})(f) = d(f + \bar{g})$ , so  $u = f + \bar{g}$  up to a constant. Conversely, any  $u = f + \bar{g}$  is easily seen to satisfy  $\bar{\partial}\partial u = 0$ .

For (b), suppose  $\alpha$  admits a local expression  $\alpha = du$  with  $u$  harmonic. Then

$$\partial\alpha = \partial(\partial + \bar{\partial})u = \partial\bar{\partial}u = 0,$$

and an analogous calculation shows  $\bar{\partial}\alpha = 0$ . Next, suppose  $\partial\alpha = \bar{\partial}\alpha = 0$ . Decompose  $\alpha$  into its  $(1, 0)$  and  $(0, 1)$ -parts; then locally

$$\alpha = f(z)dz + g(z)d\bar{z},$$

with  $\alpha_{(1,0)}$  represented locally as  $f(z)dz$  and  $\alpha_{(0,1)}$  represented as  $g(z)d\bar{z}$ . The condition  $\partial\alpha = 0$  reduces to  $\partial g(z) = 0$ , showing  $g$  is antiholomorphic; likewise  $\bar{\partial}\alpha$  implies that  $f$  is holomorphic, showing the decomposition  $\alpha = \omega_1 + \bar{\omega}_2$ . Finally, any  $\omega_1 + \bar{\omega}_2$  is easily seen to be annihilated by both  $\partial$  and  $\bar{\partial}$ .

- (4) Let  $X = \mathbb{CP}^1$ , with local coordinate  $z$  in one chart and  $w = 1/z$  in the other chart.
- Let  $\omega$  be a meromorphic 1-form on  $\mathbb{CP}^1$ . Show that if  $\omega = f(z)dz$  in the coordinate  $z$ , then  $f$  must be a rational function of  $z$ .
  - Show that conversely, if  $f(z)$  is a rational function, then the expression  $f(z)dz$  extends to define a meromorphic 1-form on  $\mathbb{CP}^1$ .
  - Show that there are no nonzero holomorphic 1-forms on  $\mathbb{CP}^1$ .
  - Find all zeroes and poles (with orders and residues, where appropriate) of the 1-form defined by  $dz$ .
  - Do the same for  $dz/z$ .

**Solution:** (a) Since  $\omega$  is assumed to be meromorphic, necessarily  $f(z)$  is meromorphic, and the expression for  $\omega$  in  $w$ -coordinates must likewise be meromorphic. Computing, this is given on the overlap  $w \neq 0$  as

$$g(w)dw = f(w^{-1})\frac{dz}{dw}dw = -w^{-2}f(w^{-1})dw.$$

The zeroes and poles of a meromorphic function are isolated, so that there is a neighborhood  $0 < |w| < \varepsilon$  on which  $g(w)$  is holomorphic and nonvanishing. It follows that  $f(z)$  is holomorphic and nonvanishing for  $|z| > 1/\varepsilon$ , and hence  $f$  has only finitely many zeroes and poles. Thus  $f$  admits an expression of the form

$$f(z) = p(z)/q(z),$$

where  $q(z)$  is a polynomial and  $p(z)$  is an entire function. Converting to  $w$ -coordinates once again, on  $w \neq 0$ ,

$$g(w) = w^{-2}\frac{p(w^{-1})}{q(w^{-1})}.$$

Supposing  $\deg(q) = d$ , then  $q(w^{-1}) = w^{-d}r(w)$  for some *polynomial*  $r(w)$  (in fact  $r$  is just obtained from  $q$  by reversing the coefficients; in particular,  $r(0) \neq 0$ ). Inserting,

$$g(w) = w^{d-2}\frac{p(w^{-1})}{r(w)}.$$

By definition, since  $g(w)$  is meromorphic at  $w = 0$ , there is some integer  $m$  such that the limit

$$\lim_{w \rightarrow 0} w^{d-2+m}\frac{p(w^{-1})}{r(w)}$$

exists and is nonzero. Now consider the (convergent!) Taylor series expansion for  $p(z)$  at  $z = 0$ , say  $p(z) = \sum_{k \geq 0} a_k z^k$ , and convert to a Laurent series expression  $p(w^{-1}) = \sum_{k \geq 0} a_k w^{-k}$ . Inserting this into the above limit, we conclude that  $a_k = 0$  for  $k$  sufficiently large, i.e. that  $p$  is also a polynomial, and hence  $f(z) = p(z)/q(z)$  is rational as claimed.

(b) This is easy: if  $f(z) = p(z)/q(z)$  is rational, then the expression for  $\omega = f(z)dz$  in  $w$ -coordinates is  $w^{-2}p(w^{-1})/q(w^{-1})dw$ . As we saw in the previous step, both of  $p(w^{-1})$  and  $q(w^{-1})$  are polynomials in  $w$  up to a factor of  $w$ , so that  $\omega$  transparently admits an expression as a rational function of  $w$  as well, and hence is meromorphic on all of  $\mathbb{C}\mathbb{P}^1$ .

(c) If  $\omega$  is globally holomorphic, then since in  $z$  coordinates it is represented as  $\omega = f(z)dz$  with  $f$  rational, then in fact  $f(z)$  must be a polynomial, say of degree  $d \geq 0$ . But then, as in (a), in  $w$  coordinates it has the expression  $\omega = w^{-2-d}g(w)dw$  for some polynomial  $g(w)$  not vanishing at  $w = 0$  and so necessarily has a pole of order  $\geq 2$  at  $w = 0$ .

(d) This was already addressed in the previous problem:  $\omega = dz$  has no zeroes and single double pole at  $w = 0$ . This pole has no residue, since the local expression  $\omega = \frac{1}{w^2}dw$  has vanishing  $\frac{1}{w}$  term.

(e) The form  $\omega = \frac{dz}{z}$  has a simple pole of residue 1 at  $z = 0$ . In  $w$  coordinates, this transforms to  $\frac{-dw}{w}$ , which has a simple pole of opposite residue  $-1$  at  $w = 0$ . Note that the sums of the orders ( $-2$ ) and residues ( $0$ ) are the same in both examples, as we know from class they must be for any pair of meromorphic differentials on the same compact Riemann surface.

- (5) (a) Let  $\Lambda \subset \mathbb{C}$  be a lattice, and let  $X = \mathbb{C}/\Lambda$  be the associated compact Riemann surface of genus 1. Find an explicit basis for  $\Omega(X)$ , the vector space of holomorphic differential 1-forms on  $X$ . Determine  $\dim(\Omega(X))$ . [Hint: construct a  $\Lambda$ -invariant form on  $\mathbb{C}$ ; this is not hard.]
- (b) For each basis element as constructed in (a), determine the image of the period mapping  $\int \omega : H_1(X; \mathbb{Z}) \rightarrow \mathbb{C}$ .
- (c) Let  $\Lambda_1, \Lambda_2 \subset \mathbb{C}$  be lattices. Prove that the compact Riemann surfaces  $X_1 = \mathbb{C}/\Lambda_1$  and  $X_2 = \mathbb{C}/\Lambda_2$  are isomorphic (as Riemann surfaces) if and only if there is  $\lambda \in \mathbb{C}^*$  such that  $\Lambda_2 = \lambda\Lambda_1$  as subsets of  $\mathbb{C}$ . [Hint: If  $f : X_1 \rightarrow X_2$  is holomorphic, how are the periods of  $\omega \in \Omega(X_2)$  and  $f^*(\omega) \in \Omega(X_1)$  related?]

**Solution:** (a) From the injectivity of the period map we established in class, we see that  $\dim(\Omega(X)) \leq g = 1$ . Thus, we need only to produce some nonzero holomorphic form. Following the suggestion, we observe that  $dz \in \Omega(\mathbb{C})$  is invariant under the transformation  $z \mapsto z + c$  for any  $c \in \mathbb{C}$ ; in particular, for  $z \in \Lambda$ . Thus  $dz$  descends to give a nonzero form on  $\Omega(X)$ .

(b) From (a), we must determine the periods of  $dz \in \Omega(\mathbb{C}/\Lambda)$ . Choose a pair of generators  $z_1, z_2 \in \Lambda$ , and observe that  $H_1(X; \mathbb{Z})$  is generated by the images of segments from 0 to  $z_1$  and  $z_2$  in  $\mathbb{C}$ . By basic calculus,  $\int_0^{z_i} dz = z_i$ , showing that the image of  $H_1(X; \mathbb{Z})$  in  $\mathbb{C}$  under the period mapping is just the lattice  $\Lambda$ !

(c) First we note that the “if” direction is trivial: if  $\Lambda_2 = \lambda\Lambda_1$ , then  $z \mapsto \lambda z$  descends from  $\mathbb{C}$  to give an isomorphism  $\mathbb{C}/\Lambda_1 \rightarrow \mathbb{C}/\Lambda_2$ . For the “only if” direction, suppose that there is an isomorphism  $f : X_1 \rightarrow X_2$ . Using the result of (a), let  $dz \in \Omega(X_2)$  be given. Note that

$f^*(dz) \in \Omega(X_1)$  is necessarily nonzero, as can be seen in local coordinates. Invoking (a) again,  $f^*(dz) = \lambda dz \in \Omega(X_1)$ .

To proceed, let us consider the hint. Using the theory of integration of differential forms, we find that for any  $[\gamma] \in H_1(X_1; \mathbb{Z})$ ,

$$\int_{\gamma} f^*(\omega) = \int_{f_*(\gamma)} \omega.$$

We conclude: *any period of  $f^*(\omega)$  is necessarily a period of  $\omega$* . Applying this to  $f^*(dz)$  as in our setting, we find that any period of  $f^*(dz) \in \Omega(X_1)$  is necessarily a period of  $dz \in \Omega(X_2)$ . By (b), this means that any period of  $f^*(dz)$  is contained in  $\Lambda_2$ . But since  $f^*(dz) = \lambda dz$ , we conclude that  $\lambda\Lambda_1 \subset \Lambda_2$ . Applying the same argument to the inverse map  $f^{-1}$ , we conclude that this containment is an equality, as desired.